

## Checklist for reinsurers | Criteria for exemptions in conjunction with Article 1d ISO:

- The reinsurer has confirmed compliance with the corporate governance and risk management principles in the CG & RM confirmation document. This confirmation must be submitted to FINMA via the survey and application platform by the end of April of each year.
- There are no supervisory measures or proceedings against the reinsurance company currently in progress.
- In the case of a run-off company there must be an approved run-off plan.
- If the conditions for exemptions in the SST report are met (see below for the risk position and duration) and the company intends to claim these exemptions, the SST report must contain a self-declaration, which can for example be worded as follows:

“We hereby confirm that the information in the last full SST report on the risk position is still sufficiently accurate and we are therefore not providing detailed information on developments in risk-bearing and target capital and the effect of scenarios for up to three consecutive years (sections 5-7 of the SST report). We are aware that FINMA can raise detailed questions as part of its review of SST reporting.”