	Adressees																																	
	BankA			ISA			FinIA								FMIA							CISA						AMLA		Other					
	Banks	Financial groups and congl.	Other intermediaries	Insurers	Insurance groups and congl.	Intermediaries	Portfolio managers	Trustees	Managers of collective assets	Fund management companies	Investment firms (proprietarian trading)	Investment firms (non propriet. trading)	Trading venues	Central counterparties	Central securities depositories	Trade repositories	Payment systems	Participants	SICAVs	Limited partnerships for CISs	SICAFs	Custodian banks	Representatives of foreign CISs	Other intermediaries	SRO	SRO-supervised institutions	Audit firms	Rating agencies						
08/3 Public deposits with non-banks			X																															
08/4 Securities journals											X	X						X																
08/14 Supervisory reporting – banks	X	X									X	X																						
08/15 Mergers – health insurance companies				X																														
08/17 Exchange of information between SROs and FINMA																									X	X								
08/20 Market risks – banks	X	X									X																							
08/21 Operational risks at banks	X	X									X	X																						
08/25 Reporting obligation – insurers				X																														
08/36 Financial reporting – occupational pension schemes				X																														
08/42 Technical provisions – non-life insurance				X																														
08/43 Technical provisions – life insurance				X																														
10/1 Remuneration schemes	X	X		X	X				X	X	X	X							X	X	X	X	X											
10/3 Health insurance under ISA				X																														
11/1 Financial intermediation under AMLA											X														X	X								
11/2 Capital buffer and capital planning – banks	X	X									X																							
11/3 Provisions in reinsurance				X																														
12/1 Credit rating agencies	X	X		X	X				X	X	X	X							X	X	X	X									X	X		
13/1 Eligible equity capital – banks	X	X									X																							
13/3 Auditing	X	X	X	X	X				X	X	X	X	X	X	X	X	X		X	X	X	X	X								X			
13/5 Liquidity – insurers				X	X																													
13/7 Limitation intragroup exposure – banks	X	X									X																							
13/8 Market conduct rules	X	X		X	X		X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X												
15/2 Liquidity risks – banks	X	X									X																							
15/3 *Leverage Ratio* - banks	X	X									X																							
16/1 Disclosure - banks	X	X									X																							
16/2 Disclosure - insurers (*Public Disclosure*)				X	X																													
16/3 ORSA - insurers				X	X																													
16/4 Insurance groups and conglomerates					X																													
16/5 Investment guideline – insurers				X																														
16/6 Life insurance				X																														
16/7 Video and online identification	X	X	X	X	X		X	X	X	X	X	X		X	X		X		X	X	X	X		X	X									
17/1 Corporate governance – banks	X	X									X	X																						
17/2 Corporate governance – insurers				X	X																													
17/3 SST				X	X																													
17/4 Responsible actuary				X																														
17/5 Business plans – insurers				X																														
17/6 Direct transmission	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X									
17/7 Credit risks – banks	X	X									X																							
18/1 Organised trading facilities	X										X		X																					
18/2 Duty to report securities transactions											X		X					X																
18/3 Outsourcing	X			X					X	X	X	X							X															

		Adressees																																	
		BankA			ISA			FinIA						FMIA						CISA						AMLA		Other									
		Banks	Financial groups and congl.	Other intermediaries	Insurers	Insurance groups and congl.	Intermediaries	Portfolio managers	Trustees	Managers of collective assets	Fund management companies	Investment firms (proprietarian trading)	Investment firms (non propriet. trading)	Trading venues	Central counterparties	Central securities depositories	Trade repositories	Payment systems	Participants	SICAVs	Limited partnerships for CISs	SICAFs	Custodian banks	Representatives of foreign CISs	Other intermediaries	SRO	SRO-supervised institutions	Audit firms	Rating agencies							
18/4	Pricing of occupational pension funds				X																														
19/1	Risk diversification – banks	X	X									X																							
19/2	Interest rate risks – banks	X	X									X	X																						
20/1	Accounting - banks	X	X									X	X																						