

## Participants étrangers, bourses et systèmes multilatéraux de négociation suisses autorisés et plates-formes de négociation étrangères reconnues

### Liste des participants étrangers autorisés (remote member)

| Nom   | Lieu              | EUREX Zurich SA | SIX Swiss Exchange AG | SIX Corporate Bonds AG |
|---|-------------------|-----------------|-----------------------|------------------------|
| 9Street Capital Markets B.V.                                    | Amsterdam         |                 |                       |                        |
| Abacus Wertpapier Handelsgesellschaft mbH                       | Köln              | X               |                       |                        |
| ABN AMRO Clearing Bank N.V.                                     | Amsterdam         |                 | X                     |                        |
| All Options International B.V.Mr. N. Morees VP Finance          | Amsterdam         |                 | X                     |                        |
| Archelon Deutschland GmbH                                       | Frankfurt am Main | X               | X                     |                        |
| B. Metzler seel. Sohn & Co. KGaA                                | Frankfurt a. M.   | X               |                       |                        |
| Baader Bank AG  | Unterschleissheim | X               | X                     |                        |
| Bankhaus Lampe KG Handelsabwicklung                             | Düsseldorf        | X               |                       |                        |
| Barclays Bank PLC   | London            |                 | X                     |                        |
| Barclays Capital Securities Ltd.                                | London            |                 | X                     |                        |
| Bayerische Landesbank   | München           | X               |                       |                        |
| Bethmann Bank AG  | Frankfurt am Main | X               |                       |                        |
| BHF-BANK AG   | Frankfurt am Main | X               |                       |                        |
| Bluefin Europe LLP  | London            |                 | X                     |                        |
| BNP Paribas Securities S.C.A. Zweigniederlassung Frankfurt      | Frankfurt am Main | X               |                       |                        |
| BNY Mellon Asset Servicing GmbH                                 | Frankfurt am Main | X               |                       |                        |
| Bremer Landesbank -Girozentrale - Kreditanstalt Oldenburg       | Bremen            | X               |                       |                        |
| Capital Markets Trading UK LLP                                  | London            |                 | X                     |                        |
| Citadel Derivatives Group (Europe) Limited                      | London            |                 | X                     |                        |
| Citigroup Global Markets Ltd.                                   | London            |                 | X                     |                        |
| CMT Capital Markets Trading GmbH                                | Frankfurt am Main | X               |                       |                        |
| Commerzbank AG  | Frankfurt am Main | X               | X                     |                        |
| Commerzbank AG, ex DKBGB  | Frankfurt-am-Main |                 | X                     |                        |
| Coöperatieve Centrale Raiffeissen-Boereleenbank B.A. (Rabobank) | Utrecht           |                 | X                     |                        |
| Cortal Consors S.A.   | Nürnberg          | X               |                       |                        |
| Credit Suisse Securities (Europe) Limited                       | London            |                 | X                     |                        |
| DAB Bank AG   | München           | X               |                       |                        |
| Deep Blue Capital N.V.  | Amsterdam         |                 | X                     |                        |
| DekaBank Deutsche Girozentrale                                  | Frankfurt am Main | X               |                       |                        |
| Deutsche Bank AG Global Markets                                 | Frankfurt am Main | X               | X                     |                        |
| Deutsche Bank AG London Branch                                  | London            |                 | X                     |                        |
| Deutsche Postbank AG  | Bonn              | X               |                       |                        |
| Donner & Reuschel Aktiengesellschaft                            | Hamburg           | X               |                       |                        |

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|---|-------------------|-----------------|-----------------------|------------------------|
| DRW Investments (UK) Ltd                        | London            |                 | X                     |                        |
| DZ BANK AG Deutsche Zentral-Genossenschaftsbank | Frankfurt am Main | X               |                       |                        |
| Exane SA  | Paris             |                 | X                     |                        |
| FINOVESTA GmbH                                  | Düsseldorf        | X               | X                     |                        |
| FinTech Group Bank AG                           | Willich           | X               |                       |                        |
| Florint B.V.                                    | Amsterdam         |                 | X                     |                        |
| Flow Traders B.V.                               | Amsterdam         |                 | X                     |                        |
| Fortis Bank (Nederland) N.V. Frankfurt Branch   | Amsterdam         | X               |                       |                        |
| Gass Capital Markets GmbH                       | Frankfurt am Main | X               |                       |                        |
| GETCO Europe Limited                            | London            |                 | X                     |                        |
| GFI Securities Ltd.                             | London            |                 | X                     |                        |
| Global Derivative Trading GmbH                  | Frankfurt am Main | X               |                       |                        |
| Goldenberg Hehmeyer LLP                         | London            |                 | X                     |                        |
| Goldman Sachs International                     | London            |                 | X                     |                        |
| Hamburger Sparkasse AG                          | Hamburg           | X               |                       |                        |
| Hauck & Aufhäuser Privatbankiers AG             | Frankfurt am Main | X               |                       |                        |
| HSBC Bank plc Global Banking and Markets        | London            |                 | X                     |                        |
| HSBC Investment Bank plc.                       | London            |                 | X                     |                        |
| HSBC Trinkaus & Burkhardt AG                    | Düsseldorf        | X               | X                     |                        |
| HSH Nordbank AG                                 | Kiel              | X               |                       |                        |
| Hudson River Trading Europe Ltd.                | London            |                 | X                     |                        |
| ICF Kursmakler AG                               | Frankfurt am Main |                 | X                     |                        |
| IMC SECURITIES B.V.                             | Amsterdam         |                 | X                     |                        |
| IMC Trading B.V.                                | Amsterdam         |                 | X                     |                        |
| Instinet Europe Limited                         | London            |                 | X                     |                        |
| J.P. Morgan Securities plc.                     | London            |                 | X                     |                        |
| Jane Street Financial Ltd.                      | London            |                 | X                     |                        |
| Jefferies International Limited                 | London            |                 | X                     |                        |
| Joh. Berenberg, Gossler & Co. KG                | Hamburg           | X               | X                     |                        |
| KCG Europe Limited                              | London            |                 | X                     |                        |
| Kepler Cheuvreux SA                             | Paris             |                 | X                     |                        |
| Kerdos Investment AG                            | Düsseldorf        | X               |                       |                        |
| Kreissparkasse Köln                             | Köln              | X               |                       |                        |
| Kreissparkasse Ludwigsburg                      | Ludwigsburg       | X               |                       |                        |
| Landesbank Baden-Württemberg                    | Stuttgart         | X               |                       |                        |
| Landesbank Berlin AG                            | Berlin            | X               |                       |                        |

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|--|--------------------------|-----------------|-----------------------|------------------------|
| Landesbank Hessen-Thüringen-Girozentrale | Frankfurt am Main        | X               |                       |                        |
| Landesbank Saar                          | Saarbrücken              | X               |                       |                        |
| Lang & Schwarz Wertpapierhandelsbank AG  | Düsseldorf               | X               |                       |                        |
| Lang & Schwarz Tradecenter AG & Co. KG   | Düsseldorf               | X               |                       |                        |
| LGT Bank in Liechtenstein AG             | Vaduz                    |                 | X                     |                        |
| Liechtensteinische Landesbank AG         | Vaduz                    |                 | X                     |                        |
| Liquid Capital Management LLP            | London                   |                 | X                     |                        |
| Liquid Capital Markets Limited           | London                   |                 | X                     |                        |
| Liquidnet Europe Limited                 | London                   |                 | X                     |                        |
| M.M. Warburg & CO (AG & Co.) KGaA        | Hamburg                  | X               |                       |                        |
| Macquarie Capital (Europe) Limited       | Frankfurt am Main        | X               |                       |                        |
| MainFirst Bank AG                        | Frankfurt am Main        | X               | X                     |                        |
| Merck, Finck & Co.                       | Frankfurt am Main        | X               |                       |                        |
| Merrill Lynch International              | London                   |                 | X                     |                        |
| MF Global UK Limited                     | London                   |                 | X                     |                        |
| MH Trading Wertpapierhandels GmbH        | Bad Vilbel               | X               |                       |                        |
| Morgan Capital Advisors LLP (MCA)        | London                   |                 | X                     |                        |
| Morgan Stanley & Co. International plc   | London                   |                 | X                     |                        |
| Morgan Stanley Bank AG                   | Frankfurt am Main        | X               |                       |                        |
| Morgan Stanley Wertpapiere GmbH          | Frankfurt am Main        | X               |                       |                        |
| Munnik Opties en Futures Trading B.V.    | MP Driebergen-Rijsenburg |                 | X                     |                        |
| My Capital Germany GmbH                  | Frankfurt am Main        | X               |                       |                        |
| National-Bank AG                         | Essen                    | X               |                       |                        |
| Natixis SA                               | Paris                    |                 | X                     | X                      |
| NeoNet Securities AB                     | Stockholm                |                 | X                     |                        |
| Newedge UK Financial Limited             | London                   |                 | X                     |                        |
| Nomura International plc                 | London                   |                 | X                     |                        |
| Norddeutsche Landesbank Girozentrale     | Hannover                 | X               |                       |                        |
| NRW.BANK                                 | Düsseldorf               | X               |                       |                        |
| Nyenburgh Holding B.V.                   | HK Amsterdam             |                 | X                     |                        |
| Oddo & Cie SCA                           | Paris Cedex 09           |                 | X                     |                        |
| OnVista Bank GmbH                        | Frankfurt am Main        | X               |                       |                        |
| Optiver V.O.F.                           | Amsterdam                |                 | X                     |                        |
| Panthera Investment GmbH                 | Frankfurt am Main        | X               |                       |                        |
| Phynance GmbH                            | Köln                     | X               |                       |                        |
| Portigon AG                              | Düsseldorf               | X               |                       |                        |

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|---|--------------------|-----------------|-----------------------|------------------------|
| Quant Capital Management GmbH                                       | Düsseldorf         | X               |                       |                        |
| RBC Europe Limited  | London             |                 | X                     |                        |
| Relative Value Trading GmbH   | Frankfurt am Main  | X               |                       |                        |
| Renell Wertpapierhandelsbank AG                                     | Frankfurt am Main  |                 | X                     |                        |
| Ronin Trading Europe LLP  | London             |                 | X                     |                        |
| SEB AG  | Frankfurt am Main  | X               |                       |                        |
| setis-bank AG   | Leipzig            | X               |                       |                        |
| Société Générale  | Paris - La Défense |                 | X                     |                        |
| Société Générale London Branch                                      | London             |                 | X                     |                        |
| Sparkasse Pforzheim Calw  | Pforzheim          | X               |                       |                        |
| SSW-Trading GmbH  | Oststeinbeck       | X               | X                     |                        |
| Stadtsparkasse Düsseldorf   | Düsseldorf         | X               |                       |                        |
| Sun Trading International Ltd.                                      | London             |                 | X                     |                        |
| Susquehanna International Securities Limited                        | Dublin             |                 | X                     |                        |
| The Bank of New York Mellon SA/NV Asset Servicing, Frankfurt Branch | Frankfurt am Main  | X               |                       |                        |
| Tibra Trading Europe Limited  | London             |                 | X                     |                        |
| Tower Research Capital Europe Limited                               | London             |                 | X                     |                        |
| Tradegate AG Wertpapierhandelsbank                                  | Berlin             | X               |                       |                        |
| Tradewind Financial GmbH  | Düsseldorf         | X               |                       |                        |
| UniCredit Bank AG   | München            | X               | X                     |                        |
| Virtu Financial Ireland Ltd.  | Dublin             |                 | X                     |                        |
| WGZ-Bank AG Westdeutsche Genossenschafts-Zentralbank                | Düsseldorf         | X               |                       |                        |

### Liste des bourses suisses autorisées

| Nom                   | Lieu     |
|-----------------------|----------|
| Eurex Zürich AG       | Zürich   |
| SIX Swiss Exchange AG | Zürich 1 |

### Liste des organisations analogues aux bourses suisses au bénéfice d'une autorisation

| Nom                    | Lieu   |
|------------------------|--------|
| BX Swiss AG            | Bern   |
| SIX Corporate Bonds AG | Zürich |

## Liste des plates-formes de négociation étrangères reconnues

| Nom  | Lieu              |
|--|-------------------|
| 360 Trading Networks Inc.  | New York          |
| Aquis Exchange Limited   | London            |
| Australian Securities Exchange Limited - ASX 24 Market             | Sydney            |
| Baden-Württembergische Wertpapierbörse                             | Stuttgart         |
| Baltic Exchange Derivatives Trading Ltd.                           | London            |
| BATS Trading Limited   | London            |
| Bloomberg SEF LLC  | New York          |
| Bloomberg Trading Facility Limited-MTF                             | London            |
| Board of Trade of the City of Chicago, Inc. (CBOT)                 | Chicago           |
| Börse Frankfurt Zertifikate AG                                     | Frankfurt am Main |
| BrokerTec Europe Limited - BrokerTec EU MTF                        | London            |
| BrokerTec Europe Limited - EBS MTF                                 | London            |
| Chicago Mercantile Exchange Inc. (CME)                             | Chicago           |
| Cleartrade Exchange Pte Limited                                    | Singapore         |
| CME Europe Limited   | London            |
| Commodity Exchange, Inc. (COMEX)                                   | New York          |
| Creditex Brokerage LLP   | London            |
| Deutsche Börse AG  | Frankfurt am Main |
| Dubai Mercantile Exchange Limited                                  | Dubai             |
| Eurex Deutschland  | Frankfurt am Main |
| EuroMTS Ltd.   | London            |
| Euronext Amsterdam N.V.  | Amsterdam         |
| EURONEXT Brussels  | Bruxelles         |
| Euronext Lisbon Sociedade Gestora de Mercados Regulamentados, S.A. | Lisbon            |
| Euronext Paris S.A.  | Paris             |
| European Energy Exchange   | Leipzig           |
| Frankfurter Wertpapierbörse FWB                                    | Frankfurt am Main |
| ICAP Global Derivatives Limited                                    | London            |
| ICAP Securities Limited  | London            |
| ICE Endex Markets B.V.   | Amsterdam         |
| ICE Futures Canada, Inc.   | Winnipeg          |
| ICE Futures Europe   | London            |
| ICE Futures U.S., Inc.   | New York          |
| ICE Swap Trade, LLC  | New York          |
| Liquidnet Europe Limited   | London            |
| London Stock Exchange plc  | London            |
| MarketAxess Europe Limited   | London            |
| MEFF Sociedad Rectora de Productos Derivados S.A.U.                | Madrid            |
| MTS Markets International, Inc - MTS BondsPro                      | New York          |
| Nasdaq Copenhagen A/S  | Copenhagen        |
| Nasdaq Helsinki Ltd  | Helsinki          |

### Liste des plates-formes de négociation étrangères reconnues

| Nom  | Lieu                  |
|--|-----------------------|
| Nasdaq Oslo ASA                                | Lysaker               |
| Nasdaq Stockholm AB                            | Stockholm             |
| New York Mercantile Exchange, Inc. (NYMEX)     | New York              |
| Oslo Bors ASA                                  | Oslo                  |
| Powernext SA                                   | Paris                 |
| Qatar Stock Exchange                           | Doha                  |
| Reuters Transaction Services Limited           | London                |
| SGX Bond Trading PTE. Ltd.                     | Singapore             |
| Singapore Exchange Derivatives Trading Limited | Singapore             |
| THE LONDON METAL EXCHANGE                      | London                |
| Thomson Reuters (SEF) LLC                      | Wilmington (Delaware) |
| Tradeweb (TW) SEF LLC                          | New York              |
| Tradeweb Europe Limited                        | London                |
| trueEX LLC                                     | New York              |
| Turquoise Global Holdings Limited              | London                |
| Wiener Börse AG                                | Wien                  |

### Contreparties centrales étrangères reconnues

| Nom                                | Lieu      |
|------------------------------------|-----------|
| European Central Counterparty N.V. | Amsterdam |
| European Commodity Clearing AG     | Leipzig   |
| LCH SA                             | Paris     |

### Dépositaires centraux suisses autorisés

| Nom        | Lieu  |
|------------|-------|
| SIX SIS AG | Olten |

### Référentiels centraux suisses autorisés

| Nom                     | Lieu   |
|-------------------------|--------|
| SIX Trade Repository AG | Zürich |

### Référentiels centraux étrangers reconnus

| Nom      | Lieu       |
|----------|------------|
| RÉGIS-TR | Luxembourg |