

Participants étrangers, bourses et systèmes multilatéraux de négociation suisses autorisés et plates-formes de négociation étrangères reconnues

Liste des participants étrangers autorisés (remote member)

| Nom | Lieu | BX Swiss AG | EUREX Zurich SA | SIX Corporate Bonds AG | SIX Repo AG | SIX Swiss Exchange AG |
|--|-------------------|-------------|-----------------|------------------------|-------------|-----------------------|
| 9Street Capital Markets B.V. | Amsterdam | | | | | X |
| Abacus Wertpapier Handelsgesellschaft mbH | Köln | | X | | | |
| ABN AMRO Clearing Bank N.V. | Amsterdam | | | | | X |
| All Options International B.V.Mr. N. Morees VP Finance | Amsterdam | | | | | X |
| Archelon Deutschland GmbH | Frankfurt am Main | | X | | | X |
| B. Metzler seel. Sohn & Co. KGaA | Frankfurt a. M. | | X | | | |
| Baader Bank AG | Unterschleissheim | X | X | | | X |
| Bankhaus Lampe KG Handelsabwicklung | Düsseldorf | | X | | | |
| Banque Internationale à Luxembourg S.A. | Luxembourg | | | | X | |
| Barclays Bank PLC | London | | | | | X |
| Barclays Capital Securities Ltd. | London | | | | | X |
| Bayerische Landesbank | München | | X | | | |
| Bethmann Bank AG | Frankfurt am Main | | X | | | |
| Bluefin Europe LLP | London | | | | | X |
| BNP Paribas Securities S.C.A. Zweigniederlassung Frankfurt | Frankfurt am Main | | X | | | |
| BNY Mellon Asset Servicing GmbH | Frankfurt am Main | | X | | | |
| Bremer Landesbank -Girozentrale - Kreditanstalt Oldenburg | Bremen | | X | | | |
| Capital Markets Trading UK LLP | London | | | | | X |
| Citadel Derivatives Group (Europe) Limited | London | | | | | X |
| Citigroup Global Markets Ltd. | London | | | | | X |
| CMT Capital Markets Trading GmbH | Frankfurt am Main | | X | | | |
| Commerzbank AG | Frankfurt am Main | | X | | | X |
| Commerzbank AG, ex DKBGB | Frankfurt-am-Main | | | | | X |
| Coöperatieve Centrale Raiffeisen-Boereleenbank B.A. (Rabobank) | Utrecht | | | | | X |
| Cortal Consors S.A. | Nürnberg | | X | | | |
| Credit Suisse Securities (Europe) Limited | London | | | X | | X |
| DAB Bank AG | München | | X | | | |
| Deep Blue Capital N.V. | Amsterdam | | | | | X |
| DekaBank Deutsche Girozentrale | Frankfurt am Main | | X | | | |
| Deutsche Bank AG Global Markets | Frankfurt am Main | | X | | | X |
| Deutsche Bank AG London Branch | London | | | | | X |
| Deutsche Postbank AG | Bonn | | X | | X | |

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|---|-------------------|-------------|-----------------|------------------------|-------------|-----------------------|
| Donner & Reuschel Aktiengesellschaft | Hamburg | | X | | | |
| DRW Investments (UK) Ltd | London | | | | | X |
| DZ BANK AG Deutsche Zentral-Genossenschaftsbank | Frankfurt am Main | | X | | | |
| DZ PRIVATBANK SA | Luxemburg | | | | X | |
| Exane SA | Paris | | | | | X |
| FINOVESTA GmbH | Düsseldorf | | X | | | X |
| FinTech Group Bank AG | Willich | | X | | | |
| Florint B.V. | Amsterdam | | | | | X |
| Flow Traders B.V. | Amsterdam | | | | | X |
| Fortis Bank (Nederland) N.V. Frankfurt Branch | Amsterdam | | X | | | |
| Gair Loch Enterprises Ltd | London | | | | | X |
| Gass Capital Markets GmbH | Frankfurt am Main | | X | | | |
| GETCO Europe Limited | London | | | | | X |
| GFI Securities Ltd. | London | | | | | X |
| Global Derivative Trading GmbH | Frankfurt am Main | | X | | | |
| Goldenberg Hehmeyer LLP | London | | | | | X |
| Goldman Sachs International | London | | | | | X |
| Hamburger Sparkasse AG | Hamburg | | X | | | |
| Hauck & Aufhäuser Privatbankiers AG | Frankfurt am Main | | X | | | |
| HSBC Bank plc Global Banking and Markets | London | | | | | X |
| HSBC Investment Bank plc. | London | | | | | X |
| HSBC Trinkaus & Burkhardt AG | Düsseldorf | | X | | | X |
| HSH Nordbank AG | Kiel | | X | | | |
| Hudson River Trading Europe Ltd. | London | | | | | X |
| ICF Kursmakler AG | Frankfurt am Main | | | | | X |
| IMC SECURITIES B.V. | Amsterdam | | | | | X |
| IMC Trading B.V. | Amsterdam | | | | | X |
| Instinet Europe Limited | London | | | | | X |
| J.P. Morgan Securities plc. | London | | | | | X |
| Jane Street Financial Ltd. | London | | | | | X |
| Jefferies International Limited | London | | | | | X |
| Joh. Berenberg, Gossler & Co. KG | Hamburg | | X | | | X |
| Kaiser Partner Privatbank AG | Vaduz | | | | X | |
| KCG Europe Limited | London | | | | | X |
| Kepler Cheuvreux SA | Paris | | | | | X |

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| Kerdos Investment AG | Düsseldorf | | X | | | |
| Kreissparkasse Köln | Köln | | X | | | |
| Kreissparkasse Ludwigsburg | Ludwigsburg | | X | | | |
| Landesbank Baden-Württemberg | Stuttgart | | X | | | |
| Landesbank Berlin AG | Berlin | | X | | | |
| Landesbank Hessen-Thüringen-Girozentrale | Frankfurt am Main | | X | | | |
| Landesbank Saar | Saarbrücken | | X | | | |
| Lang & Schwarz Wertpapierhandelsbank AG | Düsseldorf | | X | | | |
| Lang & Schwarz Tradecenter AG & Co. KG | Düsseldorf | | X | | | |
| LGT Bank AG | Vaduz | | | | X | X |
| Liechtensteinische Landesbank AG | Vaduz | | | | X | X |
| Liquid Capital Management LLP | London | | | | | X |
| Liquid Capital Markets Limited | London | | | | | X |
| Liquidnet Europe Limited | London | | | | | X |
| M.M. Warburg & CO (AG & Co.) KGaA | Hamburg | | X | | | |
| Macquarie Capital (Europe) Limited | Frankfurt am Main | | X | | | |
| MainFirst Bank AG | Frankfurt am Main | | X | | | X |
| Merck, Finck & Co. | Frankfurt am Main | | X | | | |
| Merrill Lynch International | London | | | | | X |
| MF Global UK Limited | London | | | | | X |
| MH Trading Wertpapierhandels GmbH | Bad Vilbel | | X | | | |
| Mint Tower Capital Management B.V. | Amsterdam | | | | | X |
| Morgan Capital Advisors LLP (MCA) | London | | | | | X |
| Morgan Stanley & Co. International plc | London | | | | | X |
| Morgan Stanley Bank AG | Frankfurt am Main | | X | | | |
| Morgan Stanley Wertpapiere GmbH | Frankfurt am Main | | X | | | |
| Munnik Opties en Futures Trading B.V. | MP Driebergen-Rijsenburg | | | | | X |
| My Capital Germany GmbH | Frankfurt am Main | | X | | | |
| National-Bank AG | Essen | | X | | | |
| Natixis SA | Paris | | | X | | X |
| NeoNet Securities AB | Stockholm | | | | | X |
| Newedge UK Financial Limited | London | | | | | X |
| Nomura International plc | London | | | | | X |
| Norddeutsche Landesbank Girozentrale | Hannover | | X | | | |

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|--|--------------------|-------------|-----------------|------------------------|-------------|-----------------------|
| NRW.BANK | Düsseldorf | | X | | | |
| Nyenburgh Holding B.V. | HK Amsterdam | | | | | X |
| ODDO BHF Aktiengesellschaft | Frankfurt am Main | | X | | | |
| ODDO BHF SCA | Paris Cedex 09 | | | | | X |
| Oesterreichische Kontrollbank AG | Wien | | | | X | |
| OnVista Bank GmbH | Frankfurt am Main | | X | | | |
| Optiver V.O.F. | Amsterdam | | | | | X |
| Panthera Investment GmbH | Frankfurt am Main | | X | | | |
| Phynance GmbH | Köln | | X | | | |
| Portigon AG | Düsseldorf | | X | | | |
| Quant Capital Management GmbH | Düsseldorf | | X | | | |
| Raiffeisen Privatbank Liechtenstein AG | Vaduz | | | | X | |
| Raiffeisen-Landesbank Tirol AG | Innsbruck | | | | X | |
| Raiffeisenlandesbank Vorarlberg Waren- und Revisionsverband reg. Gen. m. b. H. | Bregenz | | | | X | |
| RBC Europe Limited | London | | | | | X |
| Relative Value Trading GmbH | Frankfurt am Main | | X | | | |
| Renell Wertpapierhandelsbank AG | Frankfurt am Main | | | | | X |
| Ronin Trading Europe LLP | London | | | | | X |
| SEB AG | Frankfurt am Main | | X | | | |
| setis-bank AG | Leipzig | | X | | | |
| Société Générale | Paris - La Défense | | | | | X |
| Société Générale London Branch | London | | | | | X |
| Sparkasse Pforzheim Calw | Pforzheim | | X | | | |
| SSW-Trading GmbH | Oststeinbeck | | X | | | X |
| Stadtsparkasse Düsseldorf | Düsseldorf | | X | | | |
| Sun Trading International Ltd. | London | | | | | X |
| Susquehanna International Securities Limited | Dublin | | | | | X |
| The Bank of New York Mellon SA/NV Asset Servicing, Frankfurt Branch | Frankfurt am Main | | X | | | |
| Tibra Trading Europe Limited | London | | | | | X |
| Tower Research Capital Europe Limited | London | | | | | X |
| Tradegate AG Wertpapierhandelsbank | Berlin | | X | | | |
| Tradewind Financial GmbH | Düsseldorf | | X | | | |
| UniCredit Bank AG | München | | X | | | X |
| Virtu Financial Ireland Ltd. | Dublin | | | | | X |
| WEBB Traders B.V. | Amsterdam | | | | | X |

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|--|------------|-------------|-----------------|------------------------|-------------|-----------------------|
| WGZ-Bank AG Westdeutsche Genossenschafts-Zentralbank | Düsseldorf | | X | | | |
| XTX Markets Limited | London | | | | | X |

Liste des bourses suisses autorisées

| Nom | Lieu |
|-----------------------|----------|
| BX Swiss AG | Zürich |
| Eurex Zürich AG | Zürich |
| SIX Swiss Exchange AG | Zürich 1 |

Liste des systèmes multilatéraux de négociation suisses autorisés

| Nom | Lieu |
|------------------------|--------|
| SIX Corporate Bonds AG | Zürich |
| SIX Repo AG | Zürich |

En ce qui concerne SIX Repo SA, Zurich, la surveillance de la FINMA se limite exclusivement aux activités qui ne sont pas exécutées à la demande de la Banque nationale suisse.

Liste des plates-formes de négociation étrangères reconnues

| Nom | Lieu |
|--|-------------------|
| 360 Trading Networks Inc. | New York |
| 360 Treasury Systems AG | |
| Aquis Exchange Limited | London |
| Australian Securities Exchange Limited - ASX 24 Market | Sydney |
| Baden-Württembergische Wertpapierbörse | Stuttgart |
| Baltic Exchange Derivatives Trading Ltd. | London |
| BATS Trading Limited | London |
| Bloomberg SEF LLC | New York |
| Bloomberg Trading Facility Limited-MTF | London |
| Board of Trade of the City of Chicago, Inc. (CBOT) | Chicago |
| Börse Frankfurt Zertifikate AG | Frankfurt am Main |
| BrokerTec Europe Limited - BrokerTec EU MTF | London |
| BrokerTec Europe Limited - EBS MTF | London |

Liste des plates-formes de négociation étrangères reconnues

| Nom | Lieu |
|--|-------------------|
| Chicago Mercantile Exchange Inc. (CME) | Chicago |
| Cleartrade Exchange Pte Limited | Singapore |
| Commodity Exchange, Inc. (COMEX) | New York |
| Creditex Brokerage LLP | London |
| Dealerweb Inc. | Jersey City |
| Deutsche Börse AG | Frankfurt am Main |
| Dubai Mercantile Exchange Limited | Dubai |
| Eurex Deutschland | Frankfurt am Main |
| EuroMTS Ltd. | London |
| Euronext Amsterdam N.V. | Amsterdam |
| EURONEXT Brussels | Bruxelles |
| Euronext Lisbon Sociedade Gestora de Mercados Regulamentados, S.A. | Lisbon |
| Euronext Paris S.A. | Paris |
| European Energy Exchange | Leipzig |
| Frankfurter Wertpapierbörse FWB | Frankfurt am Main |
| ICAP Global Derivatives Limited | London |
| ICAP Securities Limited | London |
| ICE Endex Markets B.V. | Amsterdam |
| ICE Futures Canada, Inc. | Winnipeg |
| ICE Futures Europe | London |
| ICE Futures U.S., Inc. | New York |
| ICE Swap Trade, LLC | New York |
| Liquidnet Europe Limited | London |
| London Stock Exchange plc | London |
| MarketAxess Europe Limited | London |
| MarketAxess Singapore Pte Limited | Singapur |
| MEFF Sociedad Rectora de Productos Derivados S.A.U. | Madrid |
| MTS Markets International, Inc - MTS BondsPro | New York |
| Nasdaq Copenhagen A/S | Copenhagen |
| Nasdaq Helsinki Ltd | Helsinki |
| Nasdaq Oslo ASA | Lysaker |
| Nasdaq Stockholm AB | Stockholm |
| New York Mercantile Exchange, Inc. (NYMEX) | New York |
| Oslo Bors ASA | Oslo |
| Powernext SA | Paris |
| Qatar Stock Exchange | Doha |
| Reuters Transaction Services Limited | London |
| SGX Bond Trading PTE. Ltd. | Singapore |
| Singapore Exchange Derivatives Trading Limited | Singapore |
| State Street Global Markets International Limited - Currenex MTF | London |
| State Street Global Markets International Limited - FX Connect MTF | London |
| THE LONDON METAL EXCHANGE | London |

Liste des plates-formes de négociation étrangères reconnues

| Nom | Lieu |
|--|-----------------------|
| Thomson Reuters (SEF) LLC | Wilmington (Delaware) |
| THOMSON REUTERS TRANSACTION SERVICES PTE. LTD. | Singapur |
| Tradeweb (TW) SEF LLC | New York |
| Tradeweb Europe Limited | London |
| Tradeweb LLC | New York |
| trueEX LLC | New York |
| Turquoise Global Holdings Limited | London |
| UBS Limited | London |
| Wiener Börse AG | Wien |

Contreparties centrales étrangères reconnues

| Nom | Lieu |
|------------------------------------|-------------------------|
| ASX Clear (Futures) Pty Limited | Sydney, New South Wales |
| European Central Counterparty N.V. | Amsterdam |
| European Commodity Clearing AG | Leipzig |
| LCH SA | Paris |

Dépositaires centraux suisses autorisés

| Nom | Lieu |
|------------|-------|
| SIX SIS AG | Olten |

Référentiels centraux suisses autorisés

| Nom | Lieu |
|-------------------------|--------|
| SIX Trade Repository AG | Zürich |

Référentiels centraux étrangers reconnus

| Nom | Lieu |
|----------|------------|
| RÉGIS-TR | Luxembourg |