EHP Release Notes
Version 1.7

7 August 2020

Contents

1   General information

The FINMA survey and application platform (EHP) is continuously being updated to meet diverse needs. Major changes and corrections are bundled together in releases. Between three and four such releases are installed each year. The points below set out the changes included in the latest release.

2   Improvements

2.1   General improvements

2.1.1   Enclosures

In the "Enclosures" section, supporting documents can be provided for the financial intermediaries for surveys, applications and reports. Up to now, these have been provided regardless of the user's language. Now, the enclosures are shown in the same language used in the EHP and more clearly structured.

2.1.2   Multiple downloads of documents

In response to many requests from financial intermediaries, the possibility of multiple downloads has been introduced. The licence application (forms), attachments and enclosures can now be downloaded in one step. It is therefore no longer necessary for each document to be clicked and downloaded individually.

2.1.3   Uploading documents with the same name

A check is now performed when documents are uploaded to ensure that the name of newly added documents is not the same as that of existing documents. If the names are the same, the user is asked whether the existing document should be replaced or the procedure should be cancelled.

2.2   Improvements in the "surveys" area

2.2.1   Chart of accounts-based surveys (for insurance companies)

The content of XML files which can be downloaded within the individual chart of account (ICA) is now shown in the language used in the EHP

instead of only in German. The XML element and attribute names are now all only available in English.

2.2.2 Visibility of completed surveys (for audit firms)

Once a survey has been given the "completed" status by FINMA, it was previously not possible for audit firms to view these surveys. Now, completed surveys remain visible until they are archived.

3 Error correction

3.1 Electronic delivery notes

An error was corrected that prevented files with the ".PDF" ending from being validated when uploading the electronic delivery note.

4 Updates independent of the release

4.1 New support page on the FINMA website

A new support area is available on the FINMA website. This includes the frequently asked questions (and answers to these), the underlying documentation for the EHP, as well as a contact form developed for the EHP. The new support page can be found here. The latest and all current Release Notes can also be viewed on this page under Release Notes.