Addressees																													
		BankA			**ISA**			**SESTA**	**FMIA**						**CISA**									**AMLA**			**Other**	
FINMA -Circ. No.	Short title	Banks	Financial groups and congl.	Other intermediaries	Insurers	Insurance groups and congl.	Intermediaries	Securities dealers	Trading venue	Central counterparties	Central securities depositories	Trade repositories	Payment systems	Participants	Fund management companies	SICAVs	Limited partnerships for CISs	SICAFs	Custodian banks	Asset manager CISs	Distributors	Representatives of foreign CISs	Other intermediaries	SROs	DSFIs	SRO-supervised institutions	Audit firms	Rating agencies
08/3	Public deposits with non-banks			X																									
08/4	Securities journals							X						X															
08/5	Securities dealers							X																					
08/6	*Interest-rate risks – banks*	*X*	*X*					*X*																					
08/7	*Outsourcing – banks*	*X*	*X*					*X*																					
08/10	Self-regulation as a minimum standard	X			X	X		X							X	X	X	X		X	X	X							
08/12	Revolving-door principle – occupational pension schemes				X																								
08/13	Tariffication risk insurance – occupational pension schemes				X																								
08/14	Supervisory reporting – banks	X	X					X																					
08/15	Mergers – health insurance companies				X																								
08/17	Exchange of information between SROs and FINMA																							X	X	X			
08/19	*Credit risks – banks*	*X*	*X*					*X*																					
08/20	Market risks – banks	X	X					X																					
08/21	Operational risks at banks	X	X					X																					
08/22	*Capital adequacy disclosure – banks*	*X*	*X*					*X*																					
08/23	*Risk diversification – banks*	*X*	*X*					*X*																					
08/25	Reporting obligation – insurers				X																								
08/36	Financial reporting – occupational pension schemes				X																								
08/42	Technical provisions – non-life insurance				X																								

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08/43	Technical provisions – life insurance				X																								
09/1	Guidelines on asset management	X						X							X				X	X	X	X	X						
10/1	Remuneration schemes	X	X		X	X		X							X	X	X	X	X	X	X	X							
10/2	Repo/SLB transactions	X						X																					
10/3	Health insurance under ISA				X																								
11/1	Acting as a financial intermediary under AMLA																							X	X	X			
11/2	Capital buffer and capital planning – banks	X	X					X																					
11/3	Provisions in reinsurance				X																								
12/1	Credit rating agencies	X	X		X	X		X							X	X	X	X	X	X							X	X
13/1	Eligible equity capital – banks	X	X					X																					
13/3	Auditing	X	X		X	X		X	X	X	X	X	X		X	X	X	X	X	X		X			X		X	
13/5	Liquidity – insurers				X	X																							
13/7	Limitation intragroup exposure – banks	X	X					X																					
13/8	Market conduct rules	X	X		X	X		X	X	X	X	X	X	X	X	X	X	X	X	X					X				
13/9	Distribution of collective investment schemes	X			X			X							X	X	X	X		X	X	X	X						
15/1	Accounting - banks	X	X					X																					
15/2	Liquidity risks - banks	X	X																										
15/3	Leverage Ratio	X	X					X																					
16/1	Disclosure - banks	X	X					X																					
16/2	Disclosure - insurers				X	X																							

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16/3	ORSA				X	X																							
16/4	Insurance groups and conglomerates					X																							
16/5	Investment guideline – insurers				X																								
16/6	Life insurance				X																								
16/7	Video and online identification	X	X		X	X		X		X	X		X		X	X	X	X		X				X	X				
17/1	Corporate governance – banks	X	X					X																					
17/2	Corporate governance – insurers				X	X																							
17/3	SST				X	X																							
17/4	Responsible actuary				X																								
17/5	Business plans – insurers				X																								
17/6	Direct transmission	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X				
17/7	Credit risks – banks	X	X					X																					
18/1	Organised trading facilities	X						X	X																				
18/2	Duty to report securities transactions							X	X					X															
18/3	Outsourcing – banks and insurers	X			X			X																					
19/1	Risk diversification – banks	X	X					X																					
19/2	Interest-rate risks – banks	X	X					X																					