

# Risk analysis - DLT trading facility

Version 02/2025

## Base data

Institut

Audit firm

Lead auditor

Start of audit year (prospective)

End of audit year (prospective)

Services according to FinfraG

- ☐ Trading
- ☐ Settlement
- ☐ Custody

Level

- ☐ Only single-entity level
- ☐ Single-entity and consolidated level (parent company structure)
- ☐ Only group-level (holding structure/atypical structure)

## General risk assessment

## Minimum capital, capital adequacy and risk diversification

Risk description

Impact / Extent

- ☐ low
- ☐ medium
- ☐ high
- ☐ very high
- ☐ n/a

Probability of occurrence

- ☐ low
- ☐ medium
- ☐ high
- ☐ very high

Inherent risk (gross)

Risk ranking (gross)

Control risk

- ☐ low
- ☐ medium
- ☐ high

Net risk

Risk ranking (net)

## Liquidity

Risk description

Impact / Extent	Probability of occurrence	Inherent risk (gross)	Risk ranking (gross)
<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high <input type="radio"/> very high <input type="radio"/> n/a	<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high <input type="radio"/> very high	<input type="text"/>	<input type="text"/>
Control risk		Net risk	Risk ranking (net)
<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high		<input type="text"/>	<input type="text"/>

## Self-regulation and organization of trading

Risk description

Impact / Extent	Probability of occurrence	Inherent risk (gross)	Risk ranking (gross)
<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high <input type="radio"/> very high <input type="radio"/> n/a	<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high <input type="radio"/> very high	<input type="text"/>	<input type="text"/>
Control risk		Net risk	Risk ranking (net)
<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high		<input type="text"/>	<input type="text"/>

## Assurance of proper and transparent trading

Risk description

Impact / Extent	Probability of occurrence	Inherent risk (gross)	Risk ranking (gross)
<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high <input type="radio"/> very high <input type="radio"/> n/a	<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high <input type="radio"/> very high	<input type="text"/>	<input type="text"/>
Control risk		Net risk	Risk ranking (net)
<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high		<input type="text"/>	<input type="text"/>

## Reporting office and trade supervision

Risk description

Impact / Extent	Probability of occurrence	Inherent risk (gross)	Risk ranking (gross)
<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high <input type="radio"/> very high <input type="radio"/> n/a	<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high <input type="radio"/> very high	<input type="text"/>	<input type="text"/>
Control risk		Net risk	Risk ranking (net)
<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high		<input type="text"/>	<input type="text"/>

## Admission of participants and their obligations

Risk description

Impact / Extent	Probability of occurrence	Inherent risk (gross)	Risk ranking (gross)
<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high <input type="radio"/> very high <input type="radio"/> n/a	<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high <input type="radio"/> very high	<input type="text"/>	<input type="text"/>
Control risk		Net risk	Risk ranking (net)
<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high		<input type="text"/>	<input type="text"/>

## Admission of DLT securities and other assets

Risk description

Impact / Extent	Probability of occurrence	Inherent risk (gross)	Risk ranking (gross)
<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high <input type="radio"/> very high <input type="radio"/> n/a	<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high <input type="radio"/> very high	<input type="text"/>	<input type="text"/>
Control risk		Net risk	Risk ranking (net)
<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high		<input type="text"/>	<input type="text"/>

## Collateral and margin management

Risk description

Impact / Extent	Probability of occurrence	Inherent risk (gross)	Risk ranking (gross)
<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high <input type="radio"/> very high <input type="radio"/> n/a	<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high <input type="radio"/> very high	<input type="text"/>	<input type="text"/>
Control risk		Net risk	Risk ranking (net)
<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high		<input type="text"/>	<input type="text"/>

## Default management process

Risk description

Impact / Extent	Probability of occurrence	Inherent risk (gross)	Risk ranking (gross)
<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high <input type="radio"/> very high <input type="radio"/> n/a	<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high <input type="radio"/> very high	<input type="text"/>	<input type="text"/>
Control risk		Net risk	Risk ranking (net)
<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high		<input type="text"/>	<input type="text"/>

## Settlement

Risk description

Impact / Extent	Probability of occurrence	Inherent risk (gross)	Risk ranking (gross)
<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high <input type="radio"/> very high <input type="radio"/> n/a	<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high <input type="radio"/> very high	<input type="text"/>	<input type="text"/>
Control risk		Net risk	Risk ranking (net)
<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high		<input type="text"/>	<input type="text"/>

## Custody

Risk description

Impact / Extent	Probability of occurrence	Inherent risk (gross)	Risk ranking (gross)
<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high <input type="radio"/> very high <input type="radio"/> n/a	<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high <input type="radio"/> very high	<input type="text"/>	<input type="text"/>
Control risk		Net risk	Risk ranking (net)
<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high		<input type="text"/>	<input type="text"/>

## Segregation

Risk description

Impact / Extent	Probability of occurrence	Inherent risk (gross)	Risk ranking (gross)
<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high <input type="radio"/> very high <input type="radio"/> n/a	<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high <input type="radio"/> very high	<input type="text"/>	<input type="text"/>
Control risk		Net risk	Risk ranking (net)
<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high		<input type="text"/>	<input type="text"/>

## Specific requirements related to the provision of ancillary services

Risk description

Impact / Extent	Probability of occurrence	Inherent risk (gross)	Risk ranking (gross)
<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high <input type="radio"/> very high <input type="radio"/> n/a	<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high <input type="radio"/> very high	<input type="text"/>	<input type="text"/>
Control risk		Net risk	Risk ranking (net)
<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high		<input type="text"/>	<input type="text"/>

## Other risks from the legal, litigation and compliance area

Risk description

Impact / Extent	Probability of occurrence	Inherent risk (gross)	Risk ranking (gross)
<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high <input type="radio"/> very high <input type="radio"/> n/a	<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high <input type="radio"/> very high	<input type="text"/>	<input type="text"/>
Control risk		Net risk	Risk ranking (net)
<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high		<input type="text"/>	<input type="text"/>

## General rules of conduct

Risk description

Impact / Extent	Probability of occurrence	Inherent risk (gross)	Risk ranking (gross)
<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high <input type="radio"/> very high <input type="radio"/> n/a	<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high <input type="radio"/> very high	<input type="text"/>	<input type="text"/>
Control risk		Net risk	Risk ranking (net)
<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high		<input type="text"/>	<input type="text"/>

## Corporate governance

Risk description

Impact / Extent	Probability of occurrence	Inherent risk (gross)	Risk ranking (gross)
<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high <input type="radio"/> very high <input type="radio"/> n/a	<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high <input type="radio"/> very high	<input type="text"/>	<input type="text"/>
Control risk		Net risk	Risk ranking (net)
<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high		<input type="text"/>	<input type="text"/>

## Overarching operational risk management

Risk description

Impact / Extent	Probability of occurrence	Inherent risk (gross)	Risk ranking (gross)
<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high <input type="radio"/> very high <input type="radio"/> n/a	<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high <input type="radio"/> very high	<input type="text"/>	<input type="text"/>
Control risk		Net risk	Risk ranking (net)
<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high		<input type="text"/>	<input type="text"/>

## Internal organisation / ICS

Risk description

Impact / Extent	Probability of occurrence	Inherent risk (gross)	Risk ranking (gross)
<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high <input type="radio"/> very high <input type="radio"/> n/a	<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high <input type="radio"/> very high	<input type="text"/>	<input type="text"/>
Control risk		Net risk	Risk ranking (net)
<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high		<input type="text"/>	<input type="text"/>

## ICT risk management

Risk description

Impact / Extent	Probability of occurrence	Inherent risk (gross)	Risk ranking (gross)
<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high <input type="radio"/> very high <input type="radio"/> n/a	<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high <input type="radio"/> very high	<input type="text"/>	<input type="text"/>
Control risk		Net risk	Risk ranking (net)
<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high		<input type="text"/>	<input type="text"/>

## Cyber risk management

Risk description

Impact / Extent	Probability of occurrence	Inherent risk (gross)	Risk ranking (gross)
<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high <input type="radio"/> very high <input type="radio"/> n/a	<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high <input type="radio"/> very high	<input type="text"/>	<input type="text"/>
Control risk		Net risk	Risk ranking (net)
<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high		<input type="text"/>	<input type="text"/>

## Critical data risk management

Risk description

Impact / Extent	Probability of occurrence	Inherent risk (gross)	Risk ranking (gross)
<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high <input type="radio"/> very high <input type="radio"/> n/a	<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high <input type="radio"/> very high	<input type="text"/>	<input type="text"/>
Control risk		Net risk	Risk ranking (net)
<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high		<input type="text"/>	<input type="text"/>

## BCM (business continuity management)

Risk description

Impact / Extent	Probability of occurrence	Inherent risk (gross)	Risk ranking (gross)
<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high <input type="radio"/> very high <input type="radio"/> n/a	<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high <input type="radio"/> very high	<input type="text"/>	<input type="text"/>
Control risk		Net risk	Risk ranking (net)
<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high		<input type="text"/>	<input type="text"/>

## Operational resilience

Risk description



Impact / Extent	Probability of occurrence	Inherent risk (gross)	Risk ranking (gross)
<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high <input type="radio"/> very high <input type="radio"/> n/a	<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high <input type="radio"/> very high	<input type="text"/>	<input type="text"/>
Control risk		Net risk	Risk ranking (net)
<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high		<input type="text"/>	<input type="text"/>

## Outsourcing

Risk description

Impact / Extent	Probability of occurrence	Inherent risk (gross)	Risk ranking (gross)
<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high <input type="radio"/> very high <input type="radio"/> n/a	<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high <input type="radio"/> very high	<input type="text"/>	<input type="text"/>
Control risk		Net risk	Risk ranking (net)
<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high		<input type="text"/>	<input type="text"/>

## Internal audit function

Risk description

Impact / Extent	Probability of occurrence	Inherent risk (gross)	Risk ranking (gross)
<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high <input type="radio"/> very high <input type="radio"/> n/a	<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high <input type="radio"/> very high	<input type="text"/>	<input type="text"/>
Control risk		Net risk	Risk ranking (net)
<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high		<input type="text"/>	<input type="text"/>

## Risk control function

Risk description

Impact / Extent	Probability of occurrence	Inherent risk (gross)	Risk ranking (gross)
<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high <input type="radio"/> very high <input type="radio"/> n/a	<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high <input type="radio"/> very high	<input type="text"/>	<input type="text"/>
Control risk		Net risk	Risk ranking (net)
<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high		<input type="text"/>	<input type="text"/>

## Compliance function

Risk description

Impact / Extent	Probability of occurrence	Inherent risk (gross)	Risk ranking (gross)
<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high <input type="radio"/> very high <input type="radio"/> n/a	<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high <input type="radio"/> very high	<input type="text"/>	<input type="text"/>
Control risk		Net risk	Risk ranking (net)
<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high		<input type="text"/>	<input type="text"/>

## Compliance with money laundering regulations

Risk description

Impact / Extent	Probability of occurrence	Inherent risk (gross)	Risk ranking (gross)
<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high <input type="radio"/> very high <input type="radio"/> n/a	<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high <input type="radio"/> very high	<input type="text"/>	<input type="text"/>
Control risk		Net risk	Risk ranking (net)
<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high		<input type="text"/>	<input type="text"/>

## Compliance with reporting duties

Risk description

Impact / Extent	Probability of occurrence	Inherent risk (gross)	Risk ranking (gross)
<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high <input type="radio"/> very high <input type="radio"/> n/a	<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high <input type="radio"/> very high	<input type="text"/>	<input type="text"/>
Control risk		Net risk	Risk ranking (net)
<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high		<input type="text"/>	<input type="text"/>

## Compliance with obligations relating to the additional operation of an organised trading facility

Risk description

Impact / Extent	Probability of occurrence	Inherent risk (gross)	Risk ranking (gross)
<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high <input type="radio"/> very high <input type="radio"/> n/a	<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high <input type="radio"/> very high	<input type="text"/>	<input type="text"/>
Control risk		Net risk	Risk ranking (net)
<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high		<input type="text"/>	<input type="text"/>

## Corporate governance at group level

Risk description

Impact / Extent	Probability of occurrence	Inherent risk (gross)	Risk ranking (gross)
<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high <input type="radio"/> very high <input type="radio"/> n/a	<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high <input type="radio"/> very high	<input type="text"/>	<input type="text"/>
Control risk		Net risk	Risk ranking (net)
<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high		<input type="text"/>	<input type="text"/>

## Group functions for risk control and risk mitigation: Risk control function

Risk description

Impact / Extent	Probability of occurrence	Inherent risk (gross)	Risk ranking (gross)
<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high <input type="radio"/> very high <input type="radio"/> n/a	<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high <input type="radio"/> very high	<input type="text"/>	<input type="text"/>
Control risk		Net risk	Risk ranking (net)
<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high		<input type="text"/>	<input type="text"/>

## Group functions for risk control and risk mitigation: Compliance function

Risk description

Impact / Extent	Probability of occurrence	Inherent risk (gross)	Risk ranking (gross)
<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high <input type="radio"/> very high <input type="radio"/> n/a	<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high <input type="radio"/> very high	<input type="text"/>	<input type="text"/>
Control risk		Net risk	Risk ranking (net)
<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high		<input type="text"/>	<input type="text"/>

## Group internal audit

Risk description

Impact / Extent	Probability of occurrence	Inherent risk (gross)	Risk ranking (gross)
<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high <input type="radio"/> very high <input type="radio"/> n/a	<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high <input type="radio"/> very high	<input type="text"/>	<input type="text"/>
Control risk		Net risk	Risk ranking (net)
<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high		<input type="text"/>	<input type="text"/>

## Group-wide liquidity management measures

Risk description

Impact / Extent	Probability of occurrence	Inherent risk (gross)	Risk ranking (gross)
<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high <input type="radio"/> very high <input type="radio"/> n/a	<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high <input type="radio"/> very high	<input type="text"/>	<input type="text"/>
Control risk		Net risk	Risk ranking (net)
<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high		<input type="text"/>	<input type="text"/>

## Compliance with capital adequacy and risk diversification requirements at group level

Risk description

Impact / Extent	Probability of occurrence	Inherent risk (gross)	Risk ranking (gross)
<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high <input type="radio"/> very high <input type="radio"/> n/a	<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high <input type="radio"/> very high	<input type="text"/>	<input type="text"/>
Control risk		Net risk	Risk ranking (net)
<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high		<input type="text"/>	<input type="text"/>

## Intra-group financing structures and contingent liabilities

Risk description

Impact / Extent	Probability of occurrence	Inherent risk (gross)	Risk ranking (gross)
<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high <input type="radio"/> very high <input type="radio"/> n/a	<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high <input type="radio"/> very high	<input type="text"/>	<input type="text"/>
Control risk		Net risk	Risk ranking (net)
<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high		<input type="text"/>	<input type="text"/>

## Credit risks at group level

Risk description

Impact / Extent	Probability of occurrence	Inherent risk (gross)	Risk ranking (gross)
<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high <input type="radio"/> very high <input type="radio"/> n/a	<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high <input type="radio"/> very high	<input type="text"/>	<input type="text"/>
Control risk		Net risk	Risk ranking (net)
<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high		<input type="text"/>	<input type="text"/>

## Market risks at group level

Risk description

Impact / Extent	Probability of occurrence	Inherent risk (gross)	Risk ranking (gross)
<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high <input type="radio"/> very high <input type="radio"/> n/a	<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high <input type="radio"/> very high	<input type="text"/>	<input type="text"/>
Control risk		Net risk	Risk ranking (net)
<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high		<input type="text"/>	<input type="text"/>

## Legal risks at group level

Risk description

Impact / Extent	Probability of occurrence	Inherent risk (gross)	Risk ranking (gross)
<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high <input type="radio"/> very high <input type="radio"/> n/a	<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high <input type="radio"/> very high	<input type="text"/>	<input type="text"/>
Control risk		Net risk	Risk ranking (net)
<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high		<input type="text"/>	<input type="text"/>

## General rules of conduct at group level

Risk description

Impact / Extent	Probability of occurrence	Inherent risk (gross)	Risk ranking (gross)
<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high <input type="radio"/> very high <input type="radio"/> n/a	<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high <input type="radio"/> very high	<input type="text"/>	<input type="text"/>
Control risk		Net risk	Risk ranking (net)
<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high		<input type="text"/>	<input type="text"/>

## Group-wide overarching operational risk management

Risk description

Impact / Extent	Probability of occurrence	Inherent risk (gross)	Risk ranking (gross)
<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high <input type="radio"/> very high <input type="radio"/> n/a	<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high <input type="radio"/> very high	<input type="text"/>	<input type="text"/>
Control risk		Net risk	Risk ranking (net)
<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high		<input type="text"/>	<input type="text"/>

## Internal organisation / ICS at group level

Risk description

Impact / Extent	Probability of occurrence	Inherent risk (gross)	Risk ranking (gross)
<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high <input type="radio"/> very high <input type="radio"/> n/a	<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high <input type="radio"/> very high	<input type="text"/>	<input type="text"/>
Control risk		Net risk	Risk ranking (net)
<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high		<input type="text"/>	<input type="text"/>

## Group-wide ICT risk management

Risk description

Impact / Extent	Probability of occurrence	Inherent risk (gross)	Risk ranking (gross)
<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high <input type="radio"/> very high <input type="radio"/> n/a	<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high <input type="radio"/> very high	<input type="text"/>	<input type="text"/>
Control risk		Net risk	Risk ranking (net)
<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high		<input type="text"/>	<input type="text"/>

## Group-wide cyber risk management

Risk description

Impact / Extent	Probability of occurrence	Inherent risk (gross)	Risk ranking (gross)
<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high <input type="radio"/> very high <input type="radio"/> n/a	<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high <input type="radio"/> very high	<input type="text"/>	<input type="text"/>
Control risk		Net risk	Risk ranking (net)
<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high		<input type="text"/>	<input type="text"/>

## Group-wide critical data risk management

Risk description

Impact / Extent	Probability of occurrence	Inherent risk (gross)	Risk ranking (gross)
<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high <input type="radio"/> very high <input type="radio"/> n/a	<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high <input type="radio"/> very high	<input type="text"/>	<input type="text"/>
Control risk		Net risk	Risk ranking (net)
<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high		<input type="text"/>	<input type="text"/>

## Group-wide BCM (business continuity management)

Risk description



Impact / Extent	Probability of occurrence	Inherent risk (gross)	Risk ranking (gross)
<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high <input type="radio"/> very high <input type="radio"/> n/a	<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high <input type="radio"/> very high	<input type="text"/>	<input type="text"/>
Control risk		Net risk	Risk ranking (net)
<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high		<input type="text"/>	<input type="text"/>

## Operational resilience at group level

Risk description

Impact / Extent	Probability of occurrence	Inherent risk (gross)	Risk ranking (gross)
<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high <input type="radio"/> very high <input type="radio"/> n/a	<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high <input type="radio"/> very high	<input type="text"/>	<input type="text"/>
Control risk		Net risk	Risk ranking (net)
<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high		<input type="text"/>	<input type="text"/>

## Outsourcing at group level

Risk description

Impact / Extent	Probability of occurrence	Inherent risk (gross)	Risk ranking (gross)
<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high <input type="radio"/> very high <input type="radio"/> n/a	<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high <input type="radio"/> very high	<input type="text"/>	<input type="text"/>
Control risk		Net risk	Risk ranking (net)
<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high		<input type="text"/>	<input type="text"/>

## Group-wide measures to combat money laundering

Risk description

Impact / Extent	Probability of occurrence	Inherent risk (gross)	Risk ranking (gross)
<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high <input type="radio"/> very high <input type="radio"/> n/a	<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high <input type="radio"/> very high	<input type="text"/>	<input type="text"/>
Control risk		Net risk	Risk ranking (net)
<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high		<input type="text"/>	<input type="text"/>

## Compliance with consolidated reporting duties

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Risk description			
<input type="text"/>			
Impact / Extent	Probability of occurrence	Inherent risk (gross)	Risk ranking (gross)
<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high <input type="radio"/> very high <input type="radio"/> n/a	<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high <input type="radio"/> very high	<input type="text"/>	<input type="text"/>
Control risk		Net risk	Risk ranking (net)
<input type="radio"/> low <input type="radio"/> medium <input type="radio"/> high		<input type="text"/>	<input type="text"/>