

GB-A Data collection LPs

Version 02/2025

General Data

Language

English

Institute

[FI.InstitutName](#)

FINMA-ID

[FI.FinmaID](#)

Authorisation

Limited partnership for collective investment (LP)

ZulassungCode

[Zulassung.ZulassungCode](#)

FinmaObjID

[FI.FinmaObjID](#)

ZulassungID

[Zulassung.ZulassungID](#)

Name of the contact person

Telephone number

Email

A. Information about the limited partnership for collective investment (LP)

1 Total amount of committed capital

CHF

2 Total amount of called capital

CHF

3 Total amount of uncalled capital

CHF

4 Gross income / Total income

CHF

- 5 Annual profit / Loss / Comprehensive result
- 6 Net return in the financial year
- 7 Total Value to Paid In (TVPI)
- 8 Distribution to Paid In (DPI)
- 9 Residual Value to Paid In (RVPI)
- 10 Has the LP already been launched (first capital call taken place)?
 Yes No
- 11 Which of the following phases is the LP currently in?
 Launch phase
 Investment phase
 Divestiture phase / liquidation
- 12 Is the sale of the investments realistic by the end of lifetime of the LP?
 Yes No
- 13 How many Limited Partnerships are managed by the general partner?
- 14 In which sectors does the LP make investments (multiple answers possible)?
 Energy
 Real estate
 Infrastructure
 Technology
 Pharmaceuticals
 Other
- 15 Other (please specify)
- 16 Which types of equity instruments are held?

No.	Type	Percentage
1	<input type="text"/>	<input type="text" value="%"/>
Other (please specify)		
<input type="text"/>		

- 17 Does the general partner engage external, independent experts for the valuation of assets?
 Yes No

- 18 Which valuation method(s) are used? Please tick as appropriate.
- Market Price Method
 - Discounted Cashflow Method (DCF)
 - Last-Paid Price / Historical Price
 - International Private Equity and Venture Capital Valuation Guidelines (IPEVG)
 - Other valuation method

B. Information about the member with unlimited liability (general partner)

- 19 Amount of share capital
- 20 Total equity
- 21 Total debt capital
- 22 Income from management fees
- 23 Income from performance fees
- 24 Has the general partner delegated activities?
 Yes No
- 25 Type of delegated activities
- Risk management
 - Investment advice
 - Compliance
 - Management
 - AMLA (Anti-Money Laundering Act)
 - Asset management
 - Distribution / offer
 - Other
- 26 If "Other", please specify:
- 27 Are any activities delegated abroad?
 Yes No

C. Information about the limited partners

- 28 Number of limited partners

- 29 What type of limited partners are there (multiple answers possible)?
- Banks/securities dealers
 - Insurance companies
 - Pension funds
 - Investment foundations
 - Investment companies
 - In-house funds
 - Other institutional investors
 - Wealthy individuals
- 30 Where are the limited partners domiciled (multiple answers possible)?
- Switzerland
 - EU/EEA, North America, Japan, South Korea, Australia
 - Asia (excluding Japan, South Korea and Central Asia)
 - Latin America, Africa, Eastern Europe, Near East
 - Middle East, Central Asia
 - Other countries

D. Further information

- 31 Are the institution's management and controlling processes in accordance with the partnership agreement?
 Yes No
- 32 Are the limited partners involved in the corresponding controlling bodies?
 Yes No
- 33 Are there processes in place at institution level to ensure appropriate risk management?
 Yes No
- 34 Are there processes in place at product level to ensure appropriate risk management?
 Yes No
- 35 Are there processes in place to ensure appropriate compliance/risk reporting to the Executive Board and Board of Directors?
 Yes No
- 36 Have processes been established to ensure appropriate monitoring of the delegated activities?
 Yes No
- 37 Are there processes in place to ensure compliance with the obligations in terms of distribution or offer?
 Yes No
- 38 Are there processes in place to ensure compliance with the supervisory obligations in connection with the distribution, respectively the offer?
 Yes No
- 39 Are shares in the LP distributed or offered to foreign qualified investors?
 Yes No
- 40 Are there processes in place to identify, prevent, resolve and monitor conflicts of interest?
 Yes No
- 41 Are there processes in place to ensure compliance with the rules of conduct (duty of loyalty, care and information)?
 Yes No

E. Comments and feedback in connection with the questionnaire

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