

GB-A Data collection SICAV

Version 02/2026

General Data

Basic information on completing the GB-A data collection

The data collection distinguishes between self-managed and externally managed SICAVs. Additional questions have therefore been added under general information, and certain questions no longer need to be answered by externally managed SICAVs.

All amounts must be stated in CHF.

All amounts from the income statement must be stated for a period of 12 months as at 31 December 2025. All other amounts must be stated as at 31 December 2025. If a different reporting date is used, please indicate this under E. Comments.

You can also find processing instructions within the form by clicking on the respective information symbols.

Institution:

FINMA-ID:

Authorisation:

Does it concern a self-managed SICAV?

Yes No

Have the administration and portfolio management activities been delegated to the same fund management company?

Yes No

Are only qualified investors admitted?

Yes No

Name of contact person:

Telephone number:

Email:

A. General information on the financial situation (entrepreneurial assets)

1 Amount of share capital:

CHF

- 2 Annual profit / loss:
- 3 Amount of capital required pursuant to Art. 55 CISO:
- 4 Available capital resources pursuant to Art. 55 CISO:
- 5 Total of employees' contract hours expressed in full-time equivalents (including part-time positions):
- 6 Number of employees (regardless of contract hours):

B. Information about investor sub-funds

- 7 Volume of investor sub-funds:
- 8 Number of investor sub-funds (not unit classes):
- 9 Number of sub-funds listed on the stock exchange:

No.	Name of the sub-funds listed on the stock exchange:
<input type="text"/>	<input type="text"/>

Stock exchange:

- 10 Are investment advisers engaged for individual investor sub-funds?
 Yes No
- 11 Number of investment advisers consulted:
- 12 Which types of investor sub-funds has the company launched? (several answers possible)
- Securities funds
 - Other funds for traditional investments
 - Other funds for alternative investments
 - Real estate funds
- 13 Which of the following investment strategies are used? (several answers possible)
- Equity funds
 - Bond funds
 - Strategy funds
 - Real estate funds
 - Money market funds
 - Hedge funds
 - Private equity funds
 - Commodity funds
 - Index funds
 - Other types

- 14 Assets in the investor sub-funds which are invested in financial instruments issued by the company itself or by group companies:

CHF

- 15 Total assets in the investor sub-funds which are invested in collective investment schemes managed or administered by the company itself:

CHF

C.1 Data on the offer of financial instruments FinSA

This section should indicate whether and how the range of managed collective investment schemes is structured. It should be specified whether collective investment schemes are offered directly to qualified and/or non-qualified investors or via intermediaries. Intermediaries subject to prudential supervision by FINMA include, for example, intermediaries that are licensed as banks (including branches), securities dealers, insurance companies, fund managers, collective asset managers, asset managers or representatives. Multiple entries are possible.

- 16 Information on the offering activity (several answers possible):

- Offer to non-qualified investors (direct)
- Offer to qualified investors (direct)
- Offer through prudentially supervised financial intermediaries (banks, securities dealers, insurance companies, fund management companies, asset managers of collective investment schemes, portfolio managers or representatives of foreign collective investment schemes)
- Offer through other, not prudentially supervised intermediaries

- 17 Income from the offering activity:

CHF

- 18 Are investor sub-funds offered to foreign private, professional or institutional clients?

Yes No

- 19 Domicile(s) of clients, please tick as appropriate:

- EU/EEA, United Kingdom, North America, Japan, South Korea, Australia, New Zealand
- Asia (except Japan, South Korea and Central Asia)
- Latin America, Africa, Eastern Europe, Near and Middle East, Central Asia
- Other countries

C.2 Information about business activities under the Anti-Money Laundering Act (AMLA)

This section is for entering basic information on services relevant to the Anti-Money Laundering Act.

- 20 The company provides its services exclusively to (several answers possible):

- Tax-exempt occupational benefits institutions
- Financial intermediaries pursuant to Art. 2 para. 2 AMLA
- Foreign financial intermediaries who are subject to equivalent supervision to financial intermediaries pursuant to Art. 2 para. 2 AMLA

C.3 Information about cross-border activities

This section should contain information on any cross-border business activities of the company.

- 21 Are there any activities with cross-border relevance requiring collaboration with intermediaries?

Yes No

- 22 Are tasks delegated to foreign service providers?

Yes No

C.4 Investment of own funds (entrepreneurial assets)

This section shall contain information on transactions relating to the investment of own funds.

23 Are own funds invested:
 Yes No

24 Transaction volume from investments of own funds:

CHF

25 Number of transactions carried out for investments of own funds:

26 Income generated from the investment of own funds:

CHF

D. Information about the investment decision-making process

27 Delegation of the portfolio management of the investor sub-funds (several answers possible):

- No delegation
- Partial delegation
- Complete delegation

28 To whom is the portfolio management of the investor sub-funds delegated? (several answers possible)

- Intra-group delegation to another legal entity
- Delegation to independent third parties

29 Structuring of the internal analysis activities (several answers possible):

- n/a
- Internal secondary research
- Internal primary research
- Internal primary research with direct or indirect contact to issuers/major shareholders/investment banks

E. Comments and feedback related to the data collection

30 Comments and feedback related to the data collection: