

GB-A Data collection LPs

Version 02/2026

General Data

Basic information on completing the GB-A data collection

All amounts must be stated in CHF.

All amounts from the income statement must be stated for a period of 12 months as of 31 December 2025. All other amounts must be stated as at 31 December 2025. If a different reporting date is used, please indicate this under E. Comments.

You can also find processing instructions within the form by clicking on the relevant information symbols.

Language:

Institute:

FINMA-ID:

Authorisation:

ZulassungCode:

FinmaObjID:

ZulassungID:

Name of the contact person:

Telephone number:

Email:

A. Information about the limited partnership for collective investment (LP)

1 Total amount of committed capital:

CHF

- 2 Total amount of called capital:
- 3 Total amount of uncalled capital:
- 4 Gross income / Total income:
- 5 Annual profit / Loss / Comprehensive result:
- 6 Net return in the financial year:
- 7 Total Value to Paid In (TVPI):
- 8 Distribution to Paid In (DPI):
- 9 Residual Value to Paid In (RVPI):
- 10 Has the LP already been launched (first capital call taken place)?
 Yes No
- 11 Which of the following phases is the LP currently in?
 Launch phase
 Investment phase
 Divestment phase
 Liquidation phase
- 12 Is the sale of the investments realistic by the end of lifetime of the LP?
 Yes No
- 13 How many Limited Partnerships are managed by the general partner?
- 14 In which sectors does the LP make investments (multiple answers possible)?
 Energy
 Real estate
 Infrastructure
 Technology
 Pharmaceuticals
 Other
- 15 Other (please specify):
- 16 Which types of equity instruments are held?

| No. | Type: | Percentage: |
|-------------------------|----------------------|--------------------------------|
| <input type="text"/> | <input type="text"/> | <input type="text" value="%"/> |
| Other (please specify): | | |
| <input type="text"/> | | |

- 17 Does the general partner engage external, independent experts for the valuation of assets?
 Yes No
- 18 Which valuation method(s) are used? Please tick as appropriate.
- Market Price Method
 - Discounted Cashflow Method (DCF)
 - Last-Paid Price / Historical Price
 - International Private Equity and Venture Capital Valuation Guidelines (IPEVG)
 - Other valuation method

B. Information about the member with unlimited liability (general partner)

- 19 Amount of share capital:
- 20 Total equity:
- 21 Total debt capital:
- 22 Income from management fees:
- 23 Income from performance fees:
- 24 Annual profit / Annual loss:
- 25 Has the general partner delegated activities?
 Yes No
- 26 Type of delegated activities:
- Risk management
 - Investment advice
 - Compliance
 - Management
 - AMLA (Anti-Money Laundering Act)
 - Asset management
 - Distribution / offer
 - Other
- 27 If "Other", please specify:
- 28 Are any activities delegated abroad?
 Yes No

C. Information about the limited partners

- 29 Number of limited partners:

- 30 What type of limited partners are there (multiple answers possible)?
- Banks/securities dealers
 - Insurance companies
 - Pension funds
 - Investment foundations
 - Investment companies
 - In-house funds
 - Other institutional investors
 - Wealthy individuals
- 31 Where are the limited partners domiciled (multiple answers possible)?
- Switzerland
 - EU/EEA, North America, Japan, South Korea, Australia
 - Asia (excluding Japan, South Korea and Central Asia)
 - Latin America, Africa, Eastern Europe, Near East
 - Middle East, Central Asia
 - Other countries

D. Further information

- 32 Are the institution's management and controlling processes in accordance with the partnership agreement?
 Yes No
- 33 Are the limited partners involved in the corresponding controlling bodies?
 Yes No
- 34 Are there processes in place at institution level to ensure appropriate risk management?
 Yes No
- 35 Are there processes in place at product level to ensure appropriate risk management?
 Yes No
- 36 Are there processes in place to ensure appropriate compliance/risk reporting to the Executive Board and Board of Directors?
 Yes No
- 37 Have processes been established to ensure appropriate monitoring of the delegated activities?
 Yes No
- 38 Are there processes in place to ensure compliance with the obligations in terms of distribution or offer?
 Yes No
- 39 Are there processes in place to ensure compliance with the supervisory obligations in connection with the distribution, respectively the offer?
 Yes No
- 40 Are shares in the LP distributed or offered to foreign qualified investors?
 Yes No
- 41 Are there processes in place to identify, prevent, resolve and monitor conflicts of interest?
 Yes No
- 42 Are there processes in place to ensure compliance with the rules of conduct (duty of loyalty, care and information)?
 Yes No

E. Comments and feedback in connection with the questionnaire

- 43 Comments and feedback in connection with the questionnaire:

