

Key figures and information for reporting

Key figure / information	Information procured	Definitions of key figures
Headcount - Insurance intermediaries - Other staff	➤ Numbers of persons	<ul style="list-style-type: none"> • Untied insurance intermediaries registered with FINMA • As headcount, not full-time equivalents
Number of policies brokered - New policies - Existing policies that are tacitly or automatically renewed without the active involvement of the customer	➤ Number ➤ Broken down by customer category - Retail customers - Business customers - Professional policyholders ➤ Broken down by segment - Non-life insurance - Supplementary health insurance - Life insurance - Reinsurance	<ul style="list-style-type: none"> • Professional policyholders as per Article 98a para. 2 lets. b-g ICA • See ISO Annex 1 for details of the segments
Number of existing customers - Retail customers - Business customers - Professional policyholders	➤ Number ➤ Broken down by segment - Non-life insurance - Supplementary health insurance - Life insurance - Reinsurance	<ul style="list-style-type: none"> • Multiple mentions of customers are possible
Intermediary activity channels - Direct customer contact - Platform or other electronic media - Other cooperation channels - List of cooperation partners	➤ Percentage shares; the three channels must total 100%	Definitions and explanations are listed below this table.
Remuneration - Brokerage fees / Closing commissions - Fee arrangements - Commissions for holdings - Rebates or other pecuniary benefits/advantages - Income from cooperation with partners	➤ In CHF ➤ Broken down by insurance company ➤ Broken down by segment - Non-life insurance - Supplementary health insurance - Life insurance	<ul style="list-style-type: none"> • According to Article 45b para. 4 ISA • Gross and net closing commissions • Other definitions and explanations are given below this table

	- Reinsurance	
Register entry correct and up to date?	Confirm by clicking a box in the form	

Definitions & explanations:

- Other cooperation channels: For example, cooperation with other insurance intermediaries, cooperation in the field of address/lead brokerage and/or other upstream and downstream activities in connection with insurance brokerage must be disclosed.
 - o Indicate the percentage use of these channels; and
 - o a list of the cooperation partners (name and UID, if available).
- Brokerage fees / Closing commissions: This is the remuneration paid by the insurance company to the insurance intermediary for concluding an insurance contract. It is a one-off performance-related payment based, for example, on a percentage of the agreed contract value.
 - o This amount should be reported on a gross and a net basis, i.e. before and after any transfer to the customer.
- Fee arrangements: This is the remuneration that the insurance intermediary receives directly from the customer for the advice given. It is normally paid according to the actual work done, e.g. on the basis of the intermediary's hourly rate, but may also be a fixed payment.
- Commissions for holdings: This is the remuneration paid by the insurance company to the insurance intermediary for the ongoing management of an insurance contract or portfolio.
- Rebates or other pecuniary benefits/advantages: This relates to remuneration not covered by the above categories.
- Income from cooperation with partners: The compensation paid by / income received from each cooperation partner must be listed here.