

Authorised foreign participants, Swiss stock exchanges, multilateral trading facilities (MTFs) and recognised foreign trading venues

List of authorised foreign participants (remote members)

Name	City	BX Swiss AG	EUREX Zurich Ltd	SIX Corporate Bonds AG	SIX Repo AG	SIX Swiss Exchange AG
9Street Capital Markets B.V.	Amsterdam					
Abacus Wertpapier Handelsgesellschaft mbH	Köln		X			
ABN AMRO Clearing Bank N.V.	Amsterdam					X
All Options International B.V.Mr. N. Morees VP Finance	Amsterdam					X
Archelon Deutschland GmbH	Frankfurt am Main		X			X
B. Metzler seel. Sohn & Co. KGaA	Frankfurt a. M.		X			
Baader Bank AG	Unterschleissheim	X	X			X
Bankhaus Lampe KG Handelsabwicklung	Düsseldorf		X			
Barclays Bank PLC	London					X
Barclays Capital Securities Ltd.	London					X
Bayerische Landesbank	München		X			
Bethmann Bank AG	Frankfurt am Main		X			
Bluefin Europe LLP	London					X
BNP Paribas Securities S.C.A. Zweigniederlassung Frankfurt	Frankfurt am Main		X			
BNY Mellon Asset Servicing GmbH	Frankfurt am Main		X			
Bremer Landesbank -Girozentrale - Kreditanstalt Oldenburg	Bremen		X			
Capital Markets Trading UK LLP	London					X
Citadel Derivatives Group (Europe) Limited	London					X
Citigroup Global Markets Ltd.	London					X
CMT Capital Markets Trading GmbH	Frankfurt am Main		X			
Commerzbank AG	Frankfurt am Main		X			X
Commerzbank AG, ex DKBGB	Frankfurt-am-Main					X
Coöperatieve Centrale Raiffeissen-Boereleenbank B.A. (Rabobank)	Utrecht					X
Cortal Consors S.A.	Nürnberg		X			
Credit Suisse Securities (Europe) Limited	London			X		X
DAB Bank AG	München		X			
Deep Blue Capital N.V.	Amsterdam					X
DekaBank Deutsche Girozentrale	Frankfurt am Main		X			
Deutsche Bank AG Global Markets	Frankfurt am Main		X			X
Deutsche Bank AG London Branch	London					X
Deutsche Postbank AG	Bonn		X			
Donner & Reuschel Aktiengesellschaft	Hamburg		X			

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DRW Investments (UK) Ltd	London					X
DZ BANK AG Deutsche Zentral-Genossenschaftsbank	Frankfurt am Main		X			
Exane SA	Paris					X
FINOVESTA GmbH	Düsseldorf		X			X
FinTech Group Bank AG	Willich		X			
Florint B.V.	Amsterdam					X
Flow Traders B.V.	Amsterdam					X
Fortis Bank (Nederland) N.V. Frankfurt Branch	Amsterdam		X			
Gair Loch Enterprises Ltd	London					X
Gass Capital Markets GmbH	Frankfurt am Main		X			
GETCO Europe Limited	London					X
GFI Securities Ltd.	London					X
Global Derivative Trading GmbH	Frankfurt am Main		X			
Goldenberg Hehmeyer LLP	London					X
Goldman Sachs International	London					X
Hamburger Sparkasse AG	Hamburg		X			
Hauck & Aufhäuser Privatbankiers AG	Frankfurt am Main		X			
HSBC Bank plc Global Banking and Markets	London					X
HSBC Investment Bank plc.	London					X
HSBC Trinkaus & Burkhardt AG	Düsseldorf		X			X
HSH Nordbank AG	Kiel		X			
Hudson River Trading Europe Ltd.	London					X
ICF Kursmakler AG	Frankfurt am Main					X
IMC SECURITIES B.V.	Amsterdam					X
IMC Trading B.V.	Amsterdam					X
Instinet Europe Limited	London					X
J.P. Morgan Securities plc.	London					X
Jane Street Financial Ltd.	London					X
Jefferies International Limited	London					X
Joh. Berenberg, Gossler & Co. KG	Hamburg		X			X
Kaiser Partner Privatbank AG	Vaduz				X	
KCG Europe Limited	London					X
Kepler Cheuvreux SA	Paris					X
Kerdos Investment AG	Düsseldorf		X			
Kreissparkasse Köln	Köln		X			

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Kreissparkasse Ludwigsburg	Ludwigsburg		X			
Landesbank Baden-Württemberg	Stuttgart		X			
Landesbank Berlin AG	Berlin		X			
Landesbank Hessen-Thüringen-Girozentrale	Frankfurt am Main		X			
Landesbank Saar	Saarbrücken		X			
Lang & Schwarz Wertpapierhandelsbank AG	Düsseldorf		X			
Lang & Schwarz Tradecenter AG & Co. KG	Düsseldorf		X			
LGT Bank AG	Vaduz					X
Liechtensteinische Landesbank AG	Vaduz					X
Liquid Capital Management LLP	London					X
Liquid Capital Markets Limited	London					X
Liquidnet Europe Limited	London					X
M.M. Warburg & CO (AG & Co.) KGaA	Hamburg		X			
Macquarie Capital (Europe) Limited	Frankfurt am Main		X			
MainFirst Bank AG	Frankfurt am Main		X			X
Merck, Finck & Co.	Frankfurt am Main		X			
Merrill Lynch International	London					X
MF Global UK Limited	London					X
MH Trading Wertpapierhandels GmbH	Bad Vilbel		X			
Morgan Capital Advisors LLP (MCA)	London					X
Morgan Stanley & Co. International plc	London					X
Morgan Stanley Bank AG	Frankfurt am Main		X			
Morgan Stanley Wertpapiere GmbH	Frankfurt am Main		X			
Munnik Opties en Futures Trading B.V.	MP Driebergen-Rijsenburg					X
My Capital Germany GmbH	Frankfurt am Main		X			
National-Bank AG	Essen		X			
Natixis SA	Paris			X		X
NeoNet Securities AB	Stockholm					X
Newedge UK Financial Limited	London					X
Nomura International plc	London					X
Norddeutsche Landesbank Girozentrale	Hannover		X			
NRW.BANK	Düsseldorf		X			
Nyenburgh Holding B.V.	HK Amsterdam					X
ODDO BHF Aktiengesellschaft	Frankfurt am Main		X			

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ODDO BHF SCA	Paris Cedex 09					X
Oesterreichische Kontrollbank AG	Wien				X	
OnVista Bank GmbH	Frankfurt am Main		X			
Optiver V.O.F.	Amsterdam					X
Panthera Investment GmbH	Frankfurt am Main		X			
Phynance GmbH	Köln		X			
Portigon AG	Düsseldorf		X			
Quant Capital Management GmbH	Düsseldorf		X			
Raiffeisen Privatbank Liechtenstein AG	Vaduz				X	
RBC Europe Limited	London					X
Relative Value Trading GmbH	Frankfurt am Main		X			
Renell Wertpapierhandelsbank AG	Frankfurt am Main					X
Ronin Trading Europe LLP	London					X
SEB AG	Frankfurt am Main		X			
setis-bank AG	Leipzig		X			
Société Générale	Paris - La Défense					X
Société Générale London Branch	London					X
Sparkasse Pforzheim Calw	Pforzheim		X			
SSW-Trading GmbH	Oststeinbeck		X			X
Stadtsparkasse Düsseldorf	Düsseldorf		X			
Sun Trading International Ltd.	London					X
Susquehanna International Securities Limited	Dublin					X
The Bank of New York Mellon SA/NV Asset Servicing, Frankfurt Branch	Frankfurt am Main		X			
Tibra Trading Europe Limited	London					X
Tower Research Capital Europe Limited	London					X
Tradegate AG Wertpapierhandelsbank	Berlin		X			
Tradewind Financial GmbH	Düsseldorf		X			
UniCredit Bank AG	München		X			X
Virtu Financial Ireland Ltd.	Dublin					X
WGZ-Bank AG Westdeutsche Genossenschafts-Zentralbank	Düsseldorf		X			

List of authorised Swiss stock exchanges

Name	City
BX Swiss AG	Zürich
Eurex Zürich AG	Zürich
SIX Swiss Exchange AG	Zürich 1

List of authorised Swiss institutions similar to a stock exchange

Name	City
SIX Corporate Bonds AG	Zürich

List of authorised Swiss multilateral trading facilities

Name	City
SIX Repo AG	Zürich

In the case of SIX Repo AG Zurich, FINMA's supervision is limited to the activities which are not covered by the Swiss National Bank.

List of recognised foreign trading venues

Name	City
360 Trading Networks Inc.	New York
Aquis Exchange Limited	London
Australian Securities Exchange Limited - ASX 24 Market	Sydney
Baden-Württembergische Wertpapierbörse	Stuttgart
Baltic Exchange Derivatives Trading Ltd.	London
BATS Trading Limited	London
Bloomberg SEF LLC	New York
Bloomberg Trading Facility Limited-MTF	London
Board of Trade of the City of Chicago, Inc. (CBOT)	Chicago
Börse Frankfurt Zertifikate AG	Frankfurt am Main
BrokerTec Europe Limited - BrokerTec EU MTF	London
BrokerTec Europe Limited - EBS MTF	London
Chicago Mercantile Exchange Inc. (CME)	Chicago
Cleartrade Exchange Pte Limited	Singapore
Commodity Exchange, Inc. (COMEX)	New York
Creditex Brokerage LLP	London
Deutsche Börse AG	Frankfurt am Main
Dubai Mercantile Exchange Limited	Dubai
Eurex Deutschland	Frankfurt am Main
EuroMTS Ltd.	London
Euronext Amsterdam N.V.	Amsterdam
EURONEXT Brussels	Bruxelles

List of recognised foreign trading venues

Name	City
Euronext Lisbon Sociedade Gestora de Mercados Regulamentados, S.A.	Lisbon
Euronext Paris S.A.	Paris
European Energy Exchange	Leipzig
Frankfurter Wertpapierbörse FWB	Frankfurt am Main
ICAP Global Derivatives Limited	London
ICAP Securities Limited	London
ICE Endex Markets B.V.	Amsterdam
ICE Futures Canada, Inc.	Winnipeg
ICE Futures Europe	London
ICE Futures U.S., Inc.	New York
ICE Swap Trade, LLC	New York
Liquidnet Europe Limited	London
London Stock Exchange plc	London
MarketAxess Europe Limited	London
MarketAxess Singapore Pte Limited	Singapur
MEFF Sociedad Rectora de Productos Derivados S.A.U.	Madrid
MTS Markets International, Inc - MTS BondsPro	New York
Nasdaq Copenhagen A/S	Copenhagen
Nasdaq Helsinki Ltd	Helsinki
Nasdaq Oslo ASA	Lysaker
Nasdaq Stockholm AB	Stockholm
New York Mercantile Exchange, Inc. (NYMEX)	New York
Oslo Bors ASA	Oslo
Powernext SA	Paris
Qatar Stock Exchange	Doha
Reuters Transaction Services Limited	London
SGX Bond Trading PTE. Ltd.	Singapore
Singapore Exchange Derivatives Trading Limited	Singapore
THE LONDON METAL EXCHANGE	London
Thomson Reuters (SEF) LLC	Wilmington (Delaware)
Tradeweb (TW) SEF LLC	New York
Tradeweb Europe Limited	London
Tradeweb LLC	New York
trueEX LLC	New York
Turquoise Global Holdings Limited	London
UBS Limited	London
Wiener Börse AG	Wien

Recognised foreign central counterparties

Name	City
European Central Counterparty N.V.	Amsterdam
European Commodity Clearing AG	Leipzig
LCH SA	Paris

Authorised Swiss central depositories

Name	City
SIX SIS AG	Olten

Authorised Swiss trade repositories

Name	City
SIX Trade Repository AG	Zürich

Recognised foreign trade repositories

Name	City
REGIS-TR	Luxembourg