

## List of authorised and recognised financial market infrastructures (including trading venues) and foreign participants

### List of authorised foreign participants (remote members)

Name	City	BX Swiss AG	SIX Corporate Bonds AG	SIX Repo AG	SIX Swiss Exchange AG
9Street Capital Markets B.V.	Amsterdam				X
Abbey National Treasury Services plc	London			X	
ABN AMRO Clearing Bank N.V.	Amsterdam				X
All Options International B.V.Mr. N. Morees VP Finance	Amsterdam				X
Austrian Anadi Bank AG	Klagenfurt am Wörthersee			X	
Baader Bank AG	Unterschleissheim	X			X
Banque Internationale à Luxembourg S.A.	Luxembourg			X	
Barclays Bank PLC	London				X
Barclays Capital Securities Ltd.	London				X
Bluefin Europe LLP	London				X
Capital Markets Trading UK LLP	London				X
Citadel Derivatives Group (Europe) Limited	London				X
Citigroup Global Markets Ltd.	London				X
Commerzbank AG	Frankfurt am Main				X
Commerzbank AG, ex DKBGB	Frankfurt-am-Main				X
Coöperatieve Centrale Raiffeissen-Boereleenbank B.A. (Rabobank)	Utrecht				X
Credit Suisse Securities (Europe) Limited	London		X		X
Criterion Arbitrage & Trading BV	Amsterdam				X
DB Privat- und Firmenkundenbank AG	Frankfurt am Main			X	
Deep Blue Capital N.V.	Amsterdam				X
Deutsche Bank AG Global Markets	Frankfurt am Main				X
Deutsche Bank AG London Branch	London				X
DRW Investments (UK) Ltd	London				X
DZ PRIVATBANK SA	Luxemburg			X	
Exane SA	Paris				X
FINOVESTA GmbH	Düsseldorf				X
Florint B.V.	Amsterdam				X
Flow Traders B.V.	Amsterdam				X
Gair Loch Enterprises Ltd	London				X
GETCO Europe Limited	London				X
GFI Securities Ltd.	London				X
Goldenberg Hehmeyer LLP	London				X
Goldman Sachs International	London				X
HSBC Bank plc Global Banking and Markets	London				X

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HSBC Investment Bank plc.	London				X
HSBC Trinkaus & Burkhardt AG	Düsseldorf				X
Hudson River Trading Europe Ltd.	London				X
Hypo Tirol Bank AG	Innsbruck			X	
Hypo Vorarlberg Bank AG	Bregenz			X	
ICF Kursmakler AG	Frankfurt am Main				X
IMC SECURITIES B.V.	Amsterdam				X
IMC Trading B.V.	Amsterdam				X
Instinet Europe Limited	London				X
J.P. Morgan Securities plc.	London				X
Jane Street Financial Ltd.	London				X
Jefferies International Limited	London		X		X
Joh. Berenberg, Gossler & Co. KG	Hamburg				X
Kaiser Partner Privatbank AG	Vaduz			X	
KCG Europe Limited	London				X
Kemp Trading B.V.	Maarssen				X
Kepler Cheuvreux SA	Paris				X
Lang & SchwarzTradecenter AG & Co. KG	Düsseldorf	X			
LGT Bank AG	Vaduz			X	X
Liechtensteinische Landesbank AG	Vaduz			X	X
Liquid Capital Management LLP	London				X
Liquid Capital Markets Limited	London				X
MainFirst Bank AG	Frankfurt am Main				X
Market Wizards BV	JW Amsterdam				X
Merrill Lynch International	London				X
MF Global UK Limited	London				X
Mint Tower Capital Management B.V.	Amsterdam				X
MMX Trading B.V.	Amsterdam				X
Morgan Capital Advisors LLP (MCA)	London				X
Morgan Stanley & Co. International plc	London				X
Morgan Stanley Bank AG	Frankfurt am Main			X	
Munnik Opties en Futures Trading B.V.	MP Driebergen-Rijsenburg				X
Natixis SA	Paris		X		X
NeoNet Securities AB	Stockholm				X
Newedge UK Financial Limited	London				X
Nomura International plc	London				X

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Nordea Bank Abp	Nordea			X	
Nyenburgh Holding B.V.	HK Amsterdam				X
ODDO BHF SCA	Paris Cedex 09				X
Oesterreichische Kontrollbank AG	Wien			X	
Optiver V.O.F.	Amsterdam				X
Pictet & Cie (Europe) SA	Luxembourg			X	
Raiffeisen Privatbank Liechtenstein AG	Vaduz			X	
Raiffeisenlandesbank Kärnten - Rechenzentrum und Revisionsverband	Klagenfurt			X	
RAIFFEISENLANDESBANK NIEDERÖSTERREICH-WIEN AG	Wien			X	
Raiffeisen-Landesbank Steiermark AG	Graz			X	
Raiffeisen-Landesbank Tirol AG	Innsbruck			X	
Raiffeisenlandesbank Vorarlberg Waren- und Revisionsverband reg. Gen. m. b. H.	Bregenz			X	
Raiffeisenverband Salzburg eGen	Salzburg			X	
RBC Europe Limited	London				X
Renell Wertpapierhandelsbank AG	Frankfurt am Main				X
Ronin Trading Europe LLP	London				X
Société Générale	Paris - La Défense				X
Société Générale London Branch	London				X
Sun Trading International Ltd.	London				X
Susquehanna International Securities Limited	Dublin				X
Tibra Trading Europe Limited	London				X
Tower Research Capital Europe Limited	London				X
UBS AG London Branch	London		X	X	
UniCredit Bank AG	München				
Virtu Financial Ireland Ltd.	Dublin				X
Volksbank Hochrhein eG	Waldshut-Tiengen			X	
Volksbank Rhein-Wehra eG	Bad Säckingen			X	
VP Bank AG	Vaduz			X	
WEBB Traders B.V.	Amsterdam				X
XTX Markets Limited	London				X

### List of authorised Swiss stock exchanges

Name	City
BX Swiss AG	Zürich
SIX Swiss Exchange AG	Zürich 1

### List of authorised Swiss multilateral trading facilities

Name	City
SIX Corporate Bonds AG	Zürich
SIX Repo AG	Zürich

In the case of SIX Repo AG Zurich, FINMA's supervision is limited to the activities which are not covered by the Swiss National Bank.

### List of recognised foreign trading venues pursuant to Art. 41 FMIA

Name	City
360 Trading Networks Inc.	New York
360 Treasury Systems AG	
Aquis Exchange PLC (Aquis)	London
Australian Securities Exchange Limited - ASX 24 Market	Sydney
Baden-Württembergische Wertpapierbörse	Stuttgart
Baltic Exchange Derivatives Trading Ltd.	London
Bayerische Börse AG (Börse München)	
Bloomberg SEF LLC	New York
Bloomberg Trading Facility Limited-MTF	London
Board of Trade of the City of Chicago, Inc. (CBOT)	Chicago
Börse Frankfurt Zertifikate AG	Frankfurt am Main
Börse München	München
BrokerTec Americas LLC	New York
BrokerTec Europe Limited - BrokerTec EU MTF	London
BrokerTec Europe Limited - EBS MTF	London
Cboe Europe Limited (Cboe Europe Equities Regulated Market/ Cboe Europe Equities)	London
Chicago Mercantile Exchange Inc. (CME)	Chicago
Cleartrade Exchange Pte Limited	Singapore
Commodity Exchange, Inc. (COMEX)	New York
Creditex Brokerage LLP	London
Dealerweb Inc.	Jersey City
Deutsche Börse AG (Frankfurter Wertpapierbörse)	Frankfurt am Main
Dubai Mercantile Exchange Limited	Dubai
DW SEF LLC	Jersey City
Eurex Deutschland	Frankfurt am Main
EuroMTS Ltd.	London

**List of recognised foreign trading venues pursuant to Art. 41 FMIA**

<b>Name</b>	<b>City</b>
Euronext Amsterdam N.V.	Amsterdam
EURONEXT Brussels	Bruxelles
Euronext Lisbon Sociedade Gestora de Mercados Regulamentados, S.A.	Lisbon
Euronext London Limited - London Regulated Market	London
Euronext London Limited - Block MTF	London
Euronext London Limited - London Synapse MTF	London
Euronext Paris S.A.	Paris
European Energy Exchange AG	Leipzig
Frankfurter Wertpapierbörse FWB	Frankfurt am Main
ICAP Global Derivatives Limited	London
ICAP Securities Limited	London
ICE Endex Markets B.V.	Amsterdam
ICE Futures Canada, Inc.	Winnipeg
ICE Futures Europe	London
ICE Futures U.S., Inc.	New York
ICE Swap Trade, LLC	New York
LiquidityEdge LLC	New York
London Stock Exchange plc	London
MarketAxess Europe Limited	London
MarketAxess Singapore Pte Limited	Singapur
MEFF Sociedad Rectora de Productos Derivados S.A.U.	Madrid
MTS Markets International, Inc - MTS BondsPro	New York
MTS Markets International, Inc - MTS BondVision US	New York
Nasdaq Copenhagen A/S	Copenhagen
Nasdaq Helsinki Ltd	Helsinki
Nasdaq Oslo ASA	Lysaker
Nasdaq Stockholm AB	Stockholm
New York Mercantile Exchange, Inc. (NYMEX)	New York
Oslo Bors ASA	Oslo
Powernext SA	Paris
Qatar Stock Exchange	Doha
Reuters Transaction Services Limited	London
SGX Bond Trading PTE. Ltd.	Singapore
Singapore Exchange Derivatives Trading Limited	Singapore
State Street Global Markets International Limited - Currenex MTF	London
State Street Global Markets International Limited - FX Connect MTF	London
THE LONDON METAL EXCHANGE	London
Thomson Reuters (SEF) LLC	Wilmington (Delaware)
THOMSON REUTERS TRANSACTION SERVICES PTE. LTD.	Singapur
Tradeweb (TW) SEF LLC	New York
Tradeweb Europe Limited	London
Tradeweb LLC	New York

### List of recognised foreign trading venues pursuant to Art. 41 FMIA

Name	City
trueEX LLC	New York
Turquoise Global Holdings Ltd (Turquoise)	London
UBS Limited	London
Wiener Börse AG	Wien

### Authorised Swiss central counterparties

Name	City
SIX x-clear AG	Zürich 1

### Recognised foreign central counterparties

Name	City
ASX Clear (Futures) Pty Limited	Sydney, New South Wales
CCP Austria Abwicklungsstelle für Börsengeschäfte GmbH	Wien
Eurex Clearing AG	Frankfurt am Main
European Central Counterparty N.V.	Amsterdam
European Commodity Clearing AG	Leipzig
Japan Securities Clearing Corporation	Tokyo
LCH Limited	London EC3N 1EA
LCH SA	Paris
LME Clear Limited	London

### Authorised Swiss central depositories

Name	City
SIX SIS AG	Olten

### Authorised Swiss trade repositories

Name	City
SIX Trade Repository AG	Zürich

### Recognised foreign trade repositories

Name	City
REGIS-TR	Luxembourg