

Authorised banks and securities dealers

Name	City	Licensing	Bank type	Securities dealer type	Category
Aargauische Kantonalbank	Aarau	Bank / securities dealer	Cantonal banks	Swiss securities dealer	3
ABANCA CORPORACION BANCARIA S.A., Betanzos, succursale de Genève	Genève 1	Foreign bank branch office	First branch office of a foreign bank		5
acrevis Bank AG	St. Gallen	Bank / securities dealer	Regional banks and savings banks	Swiss securities dealer	4
AEK BANK 1826 Genossenschaft	Thun	Bank / securities dealer	Regional banks and savings banks	Swiss securities dealer	4
AFS Equity & Derivatives B.V., Amsterdam, Zweigniederlassung Regensdorf	Regensdorf	Foreign securities dealer branch office	Securities dealer	First branch office of a foreign securities dealer	5
Alpha RHEINTAL Bank AG	Heerbrugg	Bank / securities dealer	Regional banks and savings banks	Swiss securities dealer	4
Alternative Bank Schweiz AG	Olten	Bank / securities dealer	Other banks	Swiss securities dealer	4
AP ANLAGE & PRIVATBANK AG	Zürich	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer	5
Appenzeller Kantonalbank	Appenzell	Bank / securities dealer	Cantonal banks	Swiss securities dealer	4
Aquila AG	Zürich	Bank / securities dealer	Other banks	Swiss securities dealer	5
Arab Bank (Switzerland) Ltd.	Genève 3	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer	4
AXION SWISS BANK SA	Lugano	Bank / securities dealer	Banks specialised in exchange, securities and asset management business	Swiss securities dealer	4
Baader Helvea AG	Zürich	Securities dealer	Securities dealer	Foreign-controlled securities dealer	5
Baloise Bank SoBa AG	Solothurn	Bank / securities dealer	Regional banks and savings banks	Swiss securities dealer	4
Banca Aletti & C. (Suisse) SA	Lugano	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer	5
BANCA ARNER SA	Lugano	Bank / securities dealer	Banks specialised in exchange, securities and asset management business	Swiss securities dealer	5
BANCA CREDINVEST SA	Lugano	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer	5
BANCA DEL CERESIO SA	Lugano	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer	5
BANCA DEL SEMPIONE SA	Lugano	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer	5
Banca dello Stato del Cantone Ticino	Bellinzona	Bank / securities dealer	Cantonal banks	Swiss securities dealer	4
Banca Popolare di Sondrio (Suisse) SA	Lugano	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer	4
BANCA ZARATTINI & CO. SA	Lugano	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer	5
Banco Itaú (Suisse) SA	Zürich	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer	5
Banco Santander (Suisse) SA	Genève 1	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer	4

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Name	City	Licensing	Bank type	Securities dealer type	Category
Bank am Bellevue AG	Küsnacht	Bank / securities dealer	Banks specialised in exchange, securities and asset management business	Swiss securities dealer	5
Bank BSU Genossenschaft	Uster	Bank / securities dealer	Regional banks and savings banks	Swiss securities dealer	5
Bank CIC (Schweiz) AG	Basel	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer	4
Bank Cler AG	Basel	Bank / securities dealer	Other banks	Swiss securities dealer	3
Bank EEK AG	Bern	Bank / securities dealer	Regional banks and savings banks	Swiss securities dealer	5
Bank EKI Genossenschaft	Interlaken	Bank / securities dealer	Regional banks and savings banks	Swiss securities dealer	5
Bank für Tirol und Vorarlberg Aktiengesellschaft, Innsbruck, Zweigniederlassung Staad	Staad	Foreign bank branch office / securities dealer	First branch office of a foreign bank	First branch office of a foreign securities dealer	5
Bank Gantrisch Genossenschaft	Schwarzenburg	Bank / securities dealer	Regional banks and savings banks	Swiss securities dealer	5
Bank Hapoalim (Schweiz) AG	Zürich	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer	4
Bank in Zuzwil AG	Zuzwil	Bank / securities dealer	Regional banks and savings banks	Swiss securities dealer	5
Bank J. Safra Sarasin AG	Basel	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer	3
Bank Julius Bär & Co. AG	Zürich	Bank / securities dealer	Banks specialised in exchange, securities and asset management business	Swiss securities dealer	3
Bank Leerau Genossenschaft	Kirchleerau	Bank / securities dealer	Regional banks and savings banks	Swiss securities dealer	5
Bank Linth LLB AG	Uznach	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer	4
Bank Oberaargau AG	Huttwil	Bank / securities dealer	Regional banks and savings banks	Swiss securities dealer	4
Bank of America Merrill Lynch International Designated Activity Company, Dublin, Zurich Branch	Zürich	Foreign bank branch office / securities dealer	First branch office of a foreign bank	First branch office of a foreign securities dealer	5
Bank SLM AG	Münsingen	Bank / securities dealer	Regional banks and savings banks	Swiss securities dealer	4
Bank Sparhafen Zürich AG	Zürich	Bank / securities dealer	Regional banks and savings banks	Swiss securities dealer	5
Bank Thalwil Genossenschaft	Thalwil	Bank / securities dealer	Regional banks and savings banks	Swiss securities dealer	5
Bank von Roll AG	Zürich	Bank / securities dealer	Banks specialised in exchange, securities and asset management business	Swiss securities dealer	5
Bank Vontobel AG	Zürich	Bank / securities dealer	Banks specialised in exchange, securities and asset management business	Swiss securities dealer	3
BANK ZIMMERBERG AG	Horgen	Bank / securities dealer	Regional banks and savings banks	Swiss securities dealer	5

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Name	City	Licensing	Bank type	Securities dealer type	Category
bank zweiplus ag	Zürich	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer	5
Bankhaus Jungholz AG	St. Gallen	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer	5
BankMed (Suisse) SA	Genève 1	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer	5
Bank-now AG	Horgen	Bank	Other banks		5
Banque Algérienne du Commerce Extérieur SA	Zürich	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer	5
Banque Audi (Suisse) SA	Genève 12	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer	4
Banque Bonhôte & Cie SA	Neuchâtel	Bank / securities dealer	Banks specialised in exchange, securities and asset management business	Swiss securities dealer	5
Banque Cantonale de Fribourg	Fribourg	Bank / securities dealer	Cantonal banks	Swiss securities dealer	3
Banque Cantonale de Genève	Genève 2	Bank / securities dealer	Cantonal banks	Swiss securities dealer	3
Banque Cantonale du Jura SA	Porrentruy 2	Bank / securities dealer	Cantonal banks	Swiss securities dealer	4
Banque Cantonale du Valais	Sion	Bank / securities dealer	Cantonal banks	Swiss securities dealer	4
Banque cantonale neuchâteloise	Neuchâtel	Bank / securities dealer	Cantonal banks	Swiss securities dealer	4
Banque Cantonale Vaudoise	Lausanne	Bank / securities dealer	Cantonal banks	Swiss securities dealer	3
Banque Cramer & Cie SA	Genève 12	Bank / securities dealer	Banks specialised in exchange, securities and asset management business	Swiss securities dealer	4
Banque de Commerce et de Placements SA	Genève 3	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer	5
Banque Degroof Petercam (Suisse) SA	Genève	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer	5
Banque du Léman SA	Genève 1	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer	5
Banque Eric Sturdza SA	Genève 3	Bank / securities dealer	Banks specialised in exchange, securities and asset management business	Swiss securities dealer	5
Banque Havilland (Suisse) S.A.	Genève 11	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer	5
BANQUE HERITAGE SA	Genève 6	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer	4
Banque Internationale à Luxembourg (Suisse) SA	Zürich	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer	5
Banque Internationale de Commerce - BRED (Suisse) SA	Genève 3	Bank	Foreign-controlled banks		5

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Name	City	Licensing	Bank type	Securities dealer type	Category
Banque Lombard Odier & Cie SA	Genève	Bank / securities dealer	Banks specialised in exchange, securities and asset management business	Swiss securities dealer	3
BANQUE MORVAL SA	Genève	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer	5
Banque Pâris Bertrand SA	Genève 1	Bank / securities dealer	Banks specialised in exchange, securities and asset management business	Swiss securities dealer	5
Banque Pictet & Cie SA	Genève 73	Bank / securities dealer	Banks specialised in exchange, securities and asset management business	Swiss securities dealer	3
BANQUE PRIVEE BCP (SUISSE) SA	Genève	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer	5
BANQUE PROFIL DE GESTION SA	Genève 3	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer	5
Banque SYZ SA	Genève	Bank / securities dealer	Banks specialised in exchange, securities and asset management business	Swiss securities dealer	4
Banque Thaler SA	Genève 3	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer	5
Bantleon Bank AG	Zug	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer	5
Barclays Bank (Suisse) SA	Genève 3	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer	4
Barclays Capital, Zurich Branch of Barclays Bank PLC, London	Zürich	Foreign bank branch office / securities dealer	First branch office of a foreign bank	First branch office of a foreign securities dealer	5
Basellandschaftliche Kantonalbank	Liestal	Bank / securities dealer	Cantonal banks	Swiss securities dealer	3
Basler Kantonalbank	Basel	Bank / securities dealer	Cantonal banks	Swiss securities dealer	3
Baumann & Cie	Basel	Bank / securities dealer	Private bankers who do not solicit funds from the public	Swiss securities dealer	5
BBO Bank Brienz Oberhasli AG	Brienz	Bank / securities dealer	Regional banks and savings banks	Swiss securities dealer	5
BBVA (Suiza) SA	Zürich	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer	4
BERGOS BERENBERG AG	Zürich	Bank / securities dealer	Banks specialised in exchange, securities and asset management business	Swiss securities dealer	5
Berner Kantonalbank AG	Bern	Bank / securities dealer	Cantonal banks	Swiss securities dealer	3
Bernerland Bank AG	Sumiswald	Bank / securities dealer	Regional banks and savings banks	Swiss securities dealer	4
Bezirks-Sparkasse Dielsdorf Genossenschaft	Dielsdorf	Bank / securities dealer	Regional banks and savings banks	Swiss securities dealer	4

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Name	City	Licensing	Bank type	Securities dealer type	Category
BGC BROKERS L.P., à Londres (Royaume-Uni), succursale de Nyon	Nyon	Foreign securities dealer branch office	Securities dealer	First branch office of a foreign securities dealer	5
Biene Bank im Rheintal Genossenschaft	Altstätten	Bank / securities dealer	Regional banks and savings banks	Swiss securities dealer	5
BLOM BANK (Switzerland) SA	Genève 3	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer	5
BNP Paribas (Suisse) SA	Genève 11	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer	3
BNP PARIBAS SECURITIES SERVICES, Paris, succursale de Zurich	Zürich	Foreign bank branch office	First branch office of a foreign bank		5
Bondpartners S.A.	Lausanne	Securities dealer	Securities dealer	Swiss securities dealer	5
Bordier & Cie	Genève 11	Bank / securities dealer	Private bankers who do not solicit funds from the public	Swiss securities dealer	4
Bovay & Partenaires S.A.	Lausanne	Securities dealer	Securities dealer	Swiss securities dealer	5
bridport & cie sa	Genève 3	Securities dealer	Securities dealer	Foreign-controlled securities dealer	5
BS Bank Schaffhausen AG	Hallau	Bank / securities dealer	Regional banks and savings banks	Swiss securities dealer	5
Burgergemeinde Bern, DC Bank, Deposito-Cassa der Stadt Bern	Bern	Bank / securities dealer	Regional banks and savings banks	Swiss securities dealer	5
Burgerliche Ersparniskasse Bern, Genossenschaft	Bern	Bank	Regional banks and savings banks		5
BZ Bank Aktiengesellschaft	Wilten	Bank / securities dealer	Banks specialised in exchange, securities and asset management business	Swiss securities dealer	5
CA Indosuez (Switzerland) SA	Genève 11	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer	3
CACEIS Bank, Paris, succursale de Nyon / Suisse	Nyon	Foreign bank branch office / securities dealer	First branch office of a foreign bank	First branch office of a foreign securities dealer	5
Caisse d'Epargne Courtelary SA	Courtelary	Bank / securities dealer	Regional banks and savings banks	Swiss securities dealer	5
Caisse d'Epargne d'Aubonne société coopérative	Aubonne	Bank / securities dealer	Regional banks and savings banks	Swiss securities dealer	5
Caisse d'Epargne de Cossonay société coopérative	Cossonay	Bank / securities dealer	Regional banks and savings banks	Swiss securities dealer	5
Caisse d'Epargne de Nyon société coopérative	Nyon	Bank / securities dealer	Regional banks and savings banks	Swiss securities dealer	5
Caisse d'Epargne et de Crédit Mutuel de Chermignon société coopérative	Chermignon	Bank	Regional banks and savings banks		5
Caisse d'Epargne Riviera, société coopérative	Vevey 1	Bank / securities dealer	Regional banks and savings banks	Swiss securities dealer	5
CBH Compagnie Bancaire Helvétique SA	Genève 3	Bank / securities dealer	Banks specialised in exchange, securities and asset management business	Swiss securities dealer	4

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Name	City	Licensing	Bank type	Securities dealer type	Category
Cembra Money Bank AG	Zürich	Bank	Other banks		4
China Construction Bank Corporation, Beijing, Swiss Branch Zurich	Zürich	Foreign bank branch office / securities dealer	First branch office of a foreign bank	First branch office of a foreign securities dealer	5
CIM BANQUE SA	Genève 6	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer	5
Cité Gestion SA	Genève	Securities dealer	Securities dealer	Swiss securities dealer	5
Citibank (Switzerland) AG	Zürich	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer	4
Citibank, N.A., Sioux Falls, succursale de Genève	Genève 3	Foreign bank branch office / securities dealer	Second branch office of a foreign bank	Second branch office of a foreign securities dealer	5
Citibank, N.A., Sioux Falls, Zurich Branch	Zürich	Foreign bank branch office / securities dealer	First branch office of a foreign bank	First branch office of a foreign securities dealer	5
CITIGROUP GLOBAL MARKETS LIMITED, London, Zweigniederlassung Zürich	Zürich	Foreign securities dealer branch office	Securities dealer	First branch office of a foreign securities dealer	5
Clientis AG	Bern	Bank / securities dealer	Banks with a special field of business	Swiss securities dealer	4
Clientis Bank Aareland AG	Küttigen	Bank / securities dealer	Regional banks and savings banks	Swiss securities dealer	5
Clientis Bank im Thal AG	Balsthal	Bank / securities dealer	Regional banks and savings banks	Swiss securities dealer	5
Clientis Bank Oberuzwil AG	Oberuzwil	Bank / securities dealer	Regional banks and savings banks	Swiss securities dealer	5
Clientis Bank Thur Genossenschaft	Ebnat-Kappel	Bank / securities dealer	Regional banks and savings banks	Swiss securities dealer	5
Clientis Bank Toggenburg AG	Kirchberg SG	Bank / securities dealer	Regional banks and savings banks	Swiss securities dealer	5
Clientis EB Entlebucher Bank AG	Schüpfheim	Bank / securities dealer	Regional banks and savings banks	Swiss securities dealer	5
Clientis Sparkasse Oftringen Genossenschaft	Oftringen	Bank / securities dealer	Regional banks and savings banks	Swiss securities dealer	5
Clientis Zürcher Regionalbank Genossenschaft	Wetzikon	Bank / securities dealer	Regional banks and savings banks	Swiss securities dealer	4
COMMERZBANK Aktiengesellschaft, Frankfurt am Main, Zweigniederlassung Zürich	Zürich	Foreign bank branch office / securities dealer	First branch office of a foreign bank	First branch office of a foreign securities dealer	5
Compass Asset Management SA	Paradiso	Securities dealer	Securities dealer	Swiss securities dealer	5
Cornèr Banca SA	Lugano	Bank / securities dealer	Other banks	Swiss securities dealer	4
Crédit Agricole next bank (Suisse) SA	Genève	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer	4
Credit Europe Bank (Suisse) SA	Genève 1	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer	5
CREDIT MUTUEL DE LA VALLEE SA	Le Sentier	Bank / securities dealer	Regional banks and savings banks	Swiss securities dealer	5
Credit Suisse (Schweiz) AG	Zürich	Bank / securities dealer	Large banks	Swiss securities dealer	1
Credit Suisse AG	Zürich	Bank / securities dealer	Large banks	Swiss securities dealer	1

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Name	City	Licensing	Bank type	Securities dealer type	Category
Daiwa Capital Markets Europe Limited, Londres, succursale de Genève	Genève 3	Foreign securities dealer branch office	Securities dealer	First branch office of a foreign securities dealer	5
Delen (Suisse) SA	Genève 11	Securities dealer	Securities dealer	Foreign-controlled securities dealer	5
Deutsche Bank (Suisse) SA	Genève 1	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer	4
Deutsche Bank Aktiengesellschaft, Frankfurt a.M., Zweigniederlassung Zürich	Zürich	Foreign bank branch office / securities dealer	First branch office of a foreign bank	First branch office of a foreign securities dealer	5
Dreyfus Söhne & Cie. Aktiengesellschaft, Banquiers	Basel	Bank / securities dealer	Banks specialised in exchange, securities and asset management business	Swiss securities dealer	4
Dukascopy Bank SA	Genève 15	Bank / securities dealer	Banks specialised in exchange, securities and asset management business	Swiss securities dealer	5
DZ PRIVATBANK (Schweiz) AG	Zürich	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer	4
E. Gutzwiller & Cie. Banquiers	Basel	Bank / securities dealer	Private bankers who do not solicit funds from the public	Swiss securities dealer	5
Edmond de Rothschild (Suisse) S.A.	Genève 11	Bank / securities dealer	Banks specialised in exchange, securities and asset management business	Swiss securities dealer	3
EFG Bank AG	Zürich	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer	3
EFG Bank European Financial Group SA	Genève 2	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer	3
Entris Banking AG	Gümligen	Bank / securities dealer	Banks with a special field of business	Swiss securities dealer	5
Equatex AG	Zürich	Securities dealer	Securities dealer	Foreign-controlled securities dealer	5
Ersparniskasse Affoltern i.E. AG	Affoltern i.E.	Bank / securities dealer	Regional banks and savings banks	Swiss securities dealer	5
Ersparniskasse Rüeggisberg Genossenschaft	Rüeggisberg	Bank / securities dealer	Regional banks and savings banks	Swiss securities dealer	5
Ersparniskasse Schaffhausen AG	Schaffhausen	Bank / securities dealer	Regional banks and savings banks	Swiss securities dealer	5
Ersparniskasse Speicher	Speicher	Bank	Regional banks and savings banks		5
EXANE DERIVATIVES, Paris, succursale de Genève	Genève	Foreign securities dealer branch office	Securities dealer	First branch office of a foreign securities dealer	5
EXANE SA, Paris, succursale de Genève	Genève	Foreign securities dealer branch office	Securities dealer	First branch office of a foreign securities dealer	5
F. van Lanschot Bankiers (Schweiz) AG	Zürich	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer	5
FAB Private Bank (Suisse) SA	Genève 11	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer	5

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Name	City	Licensing	Bank type	Securities dealer type	Category
Falcon Private Bank AG	Zürich	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer	4
Fidurhône SA	Genève 3	Securities dealer	Securities dealer	Swiss securities dealer	5
Fisch Asset Management AG	Zürich	Securities dealer	Securities dealer	Swiss securities dealer	5
Frankfurter Bankgesellschaft (Schweiz) AG	Zürich	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer	4
Freie Gemeinschaftsbank Genossenschaft	Basel	Bank	Other banks		5
Gazprombank (Schweiz) AG	Zürich	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer	5
GFI Securities Limited, Londres, Succursale de Nyon	Nyon	Foreign securities dealer branch office	Securities dealer	First branch office of a foreign securities dealer	5
Glamer Kantonalbank	Glarus	Bank / securities dealer	Cantonal banks	Swiss securities dealer	4
Globalance Bank AG	Zürich	Bank / securities dealer	Banks specialised in exchange, securities and asset management business	Swiss securities dealer	5
Goldman Sachs Bank AG	Zürich	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer	5
Gonet & Cie SA	Genève 11	Bank / securities dealer	Banks specialised in exchange, securities and asset management business	Swiss securities dealer	5
Grammont Finance SA	Lutry	Securities dealer	Securities dealer	Swiss securities dealer	5
Graubündner Kantonalbank	Chur	Bank / securities dealer	Cantonal banks	Swiss securities dealer	3
GRB Glarner Regionalbank Genossenschaft	Schwanden	Bank / securities dealer	Regional banks and savings banks	Swiss securities dealer	5
GS Banque SA	Genève 21	Bank / securities dealer	Banks specialised in exchange, securities and asset management business	Swiss securities dealer	5
Habib Bank AG Zürich	Zürich	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer	4
Habibsons Bank Limited, London, Zweigniederlassung Zürich	Zürich	Foreign bank branch office / securities dealer	First branch office of a foreign bank	First branch office of a foreign securities dealer	5
Hardcastle Trading AG	Rapperswil-Jona	Securities dealer	Securities dealer	Swiss securities dealer	5
Helvetische Bank AG	Zürich	Bank / securities dealer	Other banks	Swiss securities dealer	5
HINDUJA BANQUE (SUISSE) SA	Genève 1	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer	5
Hottinger AG	Zürich	Securities dealer	Securities dealer	Swiss securities dealer	5
HSBC Bank plc, London, Zweigniederlassung Zürich	Zürich	Foreign bank branch office / securities dealer	First branch office of a foreign bank	First branch office of a foreign securities dealer	5

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Name	City	Licensing	Bank type	Securities dealer type	Category
HSBC Private Bank (Suisse) SA	Genève 1	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer	3
Hypo Vorarlberg Bank AG, Bregenz, Zweigniederlassung St. Gallen	St. Gallen	Foreign bank branch office / securities dealer	First branch office of a foreign bank	First branch office of a foreign securities dealer	5
Hyposwiss Private Bank Genève SA	Genève 11	Bank / securities dealer	Banks specialised in exchange, securities and asset management business	Swiss securities dealer	5
Hypothekarbank Lenzburg AG	Lenzburg	Bank / securities dealer	Regional banks and savings banks	Swiss securities dealer	4
IBKR Financial Services AG	Zug	Securities dealer	Securities dealer	Foreign-controlled securities dealer	5
IG Bank S.A.	Genève	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer	5
IMAC Bond SA	Givisiez	Securities dealer		Swiss securities dealer	5
InCore Bank AG	Zürich	Bank / securities dealer	Other banks	Swiss securities dealer	5
Industrial and Commercial Bank of China Limited, Peking, Zweigniederlassung Zürich	Zürich	Foreign bank branch office / securities dealer	First branch office of a foreign bank	First branch office of a foreign securities dealer	5
ING Belgique, Bruxelles, succursale de Genève	Genève 11	Foreign bank branch office / securities dealer	First branch office of a foreign bank	First branch office of a foreign securities dealer	5
Instinet Europe Limited, London, Zweigniederlassung Zürich	Zürich	Foreign securities dealer branch office	Securities dealer	First branch office of a foreign securities dealer	5
INTESA SANPAOLO PRIVATE BANK (SUISSE) SA	Lugano	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer	5
Investec Bank (Switzerland) AG	Zürich	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer	5
ISP Securities AG	Zürich	Securities dealer	Securities dealer	Swiss securities dealer	5
J.P. Morgan (Suisse) SA	Genève 11	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer	4
J.P. Morgan Securities plc., London, Zweigniederlassung Zürich	Zürich	Foreign bank branch office / securities dealer	First branch office of a foreign bank	First branch office of a foreign securities dealer	5
Jefferies International Limited, London, Zweigniederlassung Zürich	Zürich	Foreign securities dealer branch office	Securities dealer	First branch office of a foreign securities dealer	5
JL Securities SA	Genève	Securities dealer	Securities dealer	Swiss securities dealer	5
JPMorgan Chase Bank, National Association, Columbus, Zurich Branch	Zürich	Foreign bank branch office	First branch office of a foreign bank		5
Kendra Securities House SA	Genève	Securities dealer	Securities dealer	Swiss securities dealer	5
Kepler Capital Markets SA	Eysins	Securities dealer	Securities dealer	Foreign-controlled securities dealer	5

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Name	City	Licensing	Bank type	Securities dealer type	Category
LANDOLT & CIE SA	Lausanne	Bank / securities dealer	Banks specialised in exchange, securities and asset management business	Swiss securities dealer	5
Leihkasse Stammheim AG	Oberstammheim	Bank / securities dealer	Regional banks and savings banks	Swiss securities dealer	5
LEMANIK SA	Lugano	Securities dealer	Securities dealer	Foreign-controlled securities dealer	5
Leonteq Securities AG	Zürich	Securities dealer	Securities dealer	Swiss securities dealer	5
LF FINANCE (SUISSE) SA	Genève 3	Securities dealer	Securities dealer	Foreign-controlled securities dealer	5
LGT Bank (Schweiz) AG	Basel	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer	4
Lienhardt & Partner Privatbank Zürich AG	Zürich	Bank / securities dealer	Regional banks and savings banks	Swiss securities dealer	5
Luzerner Kantonalbank AG	Luzern	Bank / securities dealer	Cantonal banks	Swiss securities dealer	3
Maerki Baumann & Co. AG	Zürich	Bank / securities dealer	Banks specialised in exchange, securities and asset management business	Swiss securities dealer	5
MBaer Merchant Bank AG	Bern	Bank / securities dealer	Commercial banks	Swiss securities dealer	5
Mercantil Bank (Schweiz) AG	Zürich	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer	5
MG Finance SA	Lausanne	Securities dealer	Securities dealer	Swiss securities dealer	5
Migros Bank AG	Zürich	Bank / securities dealer	Other banks	Swiss securities dealer	3
Mirabaud & Cie SA	Genève	Bank / securities dealer	Banks specialised in exchange, securities and asset management business	Swiss securities dealer	4
Mizuho Bank (Schweiz) AG	Zürich	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer	5
Morgan Stanley & Co. International plc, London, Zurich Branch	Zürich	Foreign securities dealer branch office	Securities dealer	First branch office of a foreign securities dealer	5
NBK Banque Privée (Suisse) SA	Genève 1	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer	5
Neue Aargauer Bank AG	Aarau	Bank / securities dealer	Regional banks and savings banks	Swiss securities dealer	3
Nidwaldner Kantonalbank	Stans	Bank / securities dealer	Cantonal banks	Swiss securities dealer	4
Nomura Bank (Schweiz) AG	Zürich	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer	5
NPB Neue Privat Bank AG	Zürich	Bank / securities dealer	Banks specialised in exchange, securities and asset management business	Swiss securities dealer	5
Obwaldner Kantonalbank	Sarnen	Bank / securities dealer	Cantonal banks	Swiss securities dealer	4

Authorised banks and securities dealers

Name	City	Licensing	Bank type	Securities dealer type	Category
ODDO BHF (Schweiz) AG	Zürich	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer	5
PATRIMONY 1873 SA	Lugano	Securities dealer	Securities dealer	Foreign-controlled securities dealer	5
Piguet Galland & Cie SA	Yverdon-les-Bains	Bank / securities dealer	Banks specialised in exchange, securities and asset management business	Swiss securities dealer	4
PKB PRIVATBANK SA	Lugano	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer	4
PostFinance AG	Bern	Bank / securities dealer	Other banks	Swiss securities dealer	2
Privatbank Bellerive AG	Zürich	Bank / securities dealer	Banks specialised in exchange, securities and asset management business	Swiss securities dealer	5
Privatbank IHAG Zürich AG	Zürich	Bank / securities dealer	Banks specialised in exchange, securities and asset management business	Swiss securities dealer	4
Privatbank Von Graffenried AG	Bern	Bank / securities dealer	Banks specialised in exchange, securities and asset management business	Swiss securities dealer	5
Private Client Bank AG	Zürich	Bank / securities dealer	Banks specialised in exchange, securities and asset management business	Swiss securities dealer	5
Private Client Partners AG	Zürich	Bank / securities dealer	Banks specialised in exchange, securities and asset management business	Swiss securities dealer	5
QNB (Suisse) SA	Genève 1	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer	5
Quantus AG	Zürich	Securities dealer	Securities dealer	Swiss securities dealer	5
Quilvest (Switzerland) Ltd.	Zürich	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer	5
Rahn+Bodmer Co.	Zürich	Bank / securities dealer	Private bankers who do not solicit funds from the public	Swiss securities dealer	4
Raiffeisen Schweiz Genossenschaft	St. Gallen	Bank / securities dealer	Banks with a special field of business	Swiss securities dealer	2
RBC Investor Services Bank S.A., Esch-sur-Alzette, Zweigniederlassung Zürich	Zürich	Foreign bank branch office / securities dealer	First branch office of a foreign bank	First branch office of a foreign securities dealer	5
Regiobank Männedorf AG	Männedorf	Bank / securities dealer	Regional banks and savings banks	Swiss securities dealer	5
Regiobank Solothurn AG	Solothurn	Bank / securities dealer	Regional banks and savings banks	Swiss securities dealer	4
Reichmuth & Co.	Luzern 7	Bank / securities dealer	Private bankers who do not solicit funds from the public	Swiss securities dealer	4

Authorised banks and securities dealers

Name	City	Licensing	Bank type	Securities dealer type	Category
Remaco Wealth Management AG	Basel	Securities dealer	Securities dealer	Swiss securities dealer	5
Reuss Private AG	Bremgarten	Securities dealer	Securities dealer	Swiss securities dealer	5
REYL & Cie SA	Genève	Bank / securities dealer	Banks specialised in exchange, securities and asset management business	Swiss securities dealer	5
Rothschild & Co Bank AG	Zürich	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer	4
Sallfort Privatbank AG	Zürich	Bank / securities dealer	Banks specialised in exchange, securities and asset management business	Swiss securities dealer	5
Sauren Finanzdienstleistungen GmbH & Co. KG, Köln, Zweigniederlassung Zug	Zug	Foreign securities dealer branch office	Securities dealer	First branch office of a foreign securities dealer	5
SAXO BANK (SCHWEIZ) AG	Zürich	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer	5
SB Saanen Bank AG	Saanen	Bank / securities dealer	Regional banks and savings banks	Swiss securities dealer	5
Sberbank (Switzerland) AG	Zürich	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer	5
Schaffhauser Kantonalbank	Schaffhausen	Bank / securities dealer	Cantonal banks	Swiss securities dealer	4
Schroder & Co Bank AG	Zürich	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer	4
Schwyz Kantonalbank	Schwyz	Bank / securities dealer	Cantonal banks	Swiss securities dealer	3
Scobag Privatbank AG	Basel	Bank / securities dealer	Banks specialised in exchange, securities and asset management business	Swiss securities dealer	5
SELVI & Cie SA	Genève 11	Securities dealer	Securities dealer	Swiss securities dealer	5
Società Bancaria Ticinese SA	Bellinzona	Bank / securities dealer	Banks specialised in exchange, securities and asset management business	Swiss securities dealer	5
SOCIETE GENERALE Private Banking (Suisse) SA	Genève 11	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer	4
Société Générale, Paris, Zweigniederlassung Zürich	Zürich	Foreign bank branch office / securities dealer	First branch office of a foreign bank	First branch office of a foreign securities dealer	5
SPAR + LEIHKASSE GÜRBETAL AG	Mühlethurnen	Bank / securities dealer	Regional banks and savings banks	Swiss securities dealer	5
Spar- und Leihkasse Bucheggberg AG	Lütterswil	Bank / securities dealer	Regional banks and savings banks	Swiss securities dealer	5
Spar- und Leihkasse Frutigen AG	Frutigen	Bank / securities dealer	Regional banks and savings banks	Swiss securities dealer	4
Spar- und Leihkasse Thayngen AG	Thayngen	Bank / securities dealer	Regional banks and savings banks	Swiss securities dealer	5
Spar- und Leihkasse Wynigen AG	Wynigen	Bank / securities dealer	Regional banks and savings banks	Swiss securities dealer	5

Authorised banks and securities dealers



Name	City	Licensing	Bank type	Securities dealer type	Category
Spar+Leihkasse Riggisberg AG	Riggisberg	Bank / securities dealer	Regional banks and savings banks	Swiss securities dealer	5
Sparcassa 1816 Genossenschaft	Wädenswil	Bank / securities dealer	Regional banks and savings banks	Swiss securities dealer	5
Sparkasse Schwyz AG	Schwyz	Bank / securities dealer	Regional banks and savings banks	Swiss securities dealer	4
Sparkasse Sense	Tafers	Bank / securities dealer	Regional banks and savings banks	Swiss securities dealer	5
St. Galler Kantonalbank AG	St. Gallen	Bank / securities dealer	Cantonal banks	Swiss securities dealer	3
State Street Bank International GmbH, München, Zweigniederlassung Zürich	Zürich	Foreign bank branch office	First branch office of a foreign bank		5
STRATEO, Genève, Succursale d'Arkéa Direct Bank SA, Puteaux (Paris)	Genève	Foreign bank branch office / securities dealer	First branch office of a foreign bank	First branch office of a foreign securities dealer	5
Swiss Bankers Prepaid Services AG	Grosshöchstetten	Bank	Other banks		5
Swiss Capital Alternative Investments AG	Zürich	Securities dealer	Securities dealer	Swiss securities dealer	5
Swissquote Bank SA	Gland	Bank / securities dealer	Banks specialised in exchange, securities and asset management business	Swiss securities dealer	4
Tellco AG	Schwyz	Bank / securities dealer	Other banks	Swiss securities dealer	5
Thurgauer Kantonalbank	Weinfelden	Bank / securities dealer	Cantonal banks	Swiss securities dealer	3
Trafina Privatbank AG	Basel	Bank / securities dealer	Banks specialised in exchange, securities and asset management business	Swiss securities dealer	5
TULLETT PREBON (SECURITIES) LIMITED, Londres, succursale de Meyrin / Genève	Genève 15	Foreign securities dealer branch office	Securities dealer	First branch office of a foreign securities dealer	5
UBL (Switzerland) AG	Zürich	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer	5
UBS AG	Zürich	Bank / securities dealer	Large banks	Swiss securities dealer	1
UBS LIMITED, London, Swiss Branch, Opfikon	Opfikon	Foreign bank branch office / securities dealer	First branch office of a foreign bank	First branch office of a foreign securities dealer	5
UBS Swiss Financial Advisers AG	Zürich	Securities dealer	Securities dealer	Swiss securities dealer	5
UBS Switzerland AG	Zürich	Bank / securities dealer	Large banks	Swiss securities dealer	1
UniCredit Bank AG, München, Zweigniederlassung Zürich	Zürich	Foreign bank branch office / securities dealer	First branch office of a foreign bank	First branch office of a foreign securities dealer	5
UNION BANCAIRE PRIVEE, UBP SA	Genève 1	Bank / securities dealer	Banks specialised in exchange, securities and asset management business	Swiss securities dealer	3

Authorised banks and securities dealers

Name	City	Licensing	Bank type	Securities dealer type	Category
Union Securities Switzerland SA	Genève	Securities dealer	Securities dealer	Swiss securities dealer	5
UNITED MIZRAHI BANK (Switzerland) Ltd.	Zürich 1	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer	5
Urner Kantonalbank	Altdorf	Bank / securities dealer	Cantonal banks	Swiss securities dealer	4
Valcourt SA	Genève 11	Securities dealer	Securities dealer	Swiss securities dealer	5
Valiant Bank AG	Bern	Bank / securities dealer	Regional banks and savings banks	Swiss securities dealer	3
VP Bank (Schweiz) AG	Zürich	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer	4
VZ Depotbank AG	Zug	Bank / securities dealer	Other banks	Swiss securities dealer	4
WIR Bank Genossenschaft	Basel	Bank	Other banks		4
Zähringer Privatbank AG	Bern	Bank / securities dealer	Banks specialised in exchange, securities and asset management business	Swiss securities dealer	5
Zuger Kantonalbank	Zug	Bank / securities dealer	Cantonal banks	Swiss securities dealer	4
Zürcher Kantonalbank	Zürich	Bank / securities dealer	Cantonal banks	Swiss securities dealer	2
Zürcher Landbank AG	Elgg	Bank / securities dealer	Regional banks and savings banks	Swiss securities dealer	5

Total authorised banks and securities dealers: 295