Eidgenössische Finanzmarktaufsicht FINMA
Autorité fédérale de surveillance des marchés financiers FINMA
Autorità federale di vigilanza sui mercati finanziari FINMA
Swiss Financial Market Supervisory Authority FINMA

Authorised banks and securities firms (incl. those about to cease operations)

Name	City	Licensing	foreign control	no activity as securities firm	non-account-holding securities firms	about to cease operations	Category
Aargauische Kantonalbank	Aarau 1	Bank					3
ABANCA CORPORACION BANCARIA S.A., Betanzos, succursale de Genève	Genève 1	Foreign bank branch office		X			5
acrevis Bank AG	St. Gallen	Bank					4
AEK BANK 1826 Genossenschaft	Thun	Bank					4
Allfunds Bank S.A., Madrid, Zurich Branch	Zürich	Foreign bank branch office					5
Alpha RHEINTAL Bank AG	Heerbrugg	Bank					4
Alpian SA	Genève	Bank	X				5
Alternative Bank Schweiz AG	Olten	Bank					4
AMINA Bank AG	Zug	Bank					5
Appenzeller Kantonalbank	Appenzell	Bank					4
Aquila AG	Zürich	Bank					5
Arab Bank (Switzerland) Ltd.	Genève 3	Bank	X				4
Arya Banque Privée SA	Genève 1	Bank	X				5
AXION SWISS BANK SA	Lugano	Bank					5
Baader Helvea AG	Zürich	Securities firm	X		X		5
Baloise Bank AG	Solothurn	Bank					4
Banca Aletti & C. (Suisse) SA	Lugano	Bank	X				5
Banca Credinvest SA	Lugano	Bank	X				5
BANCA DEL CERESIO SA	Lugano	Bank	X				5
BANCA DEL SEMPIONE SA	Lugano	Bank	X				5
Banca dello Stato del Cantone Ticino	Bellinzona	Bank					3
Banca Popolare di Sondrio (Suisse) SA	Lugano	Bank	X				4

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Name	City	Licensing	foreign control	no activity as securities firm	non-account-holding securities firms	about to cease operations	Category
BANCA ZARATTINI & CO. SA	Lugano	Bank	X				5
Banco Itaú (Suisse) SA	Zürich	Bank	X				5
Banco Santander International SA	Genève 1	Bank	X				4
Bank Avera Genossenschaft	Wetzikon ZH	Bank					4
Bank BSU Genossenschaft	Uster	Bank					5
Bank CIC (Schweiz) AG	Basel	Bank	X				4
Bank Cler AG	Basel	Bank					3
Bank EEK AG	Bern	Bank					4
Bank EKI Genossenschaft	Interlaken	Bank					4
Bank Gantrisch Genossenschaft	Schwarzenburg	Bank					5
Bank in Zuzwil AG	Zuzwil SG	Bank					5
Bank J. Safra Sarasin AG	Basel	Bank	X				3
Bank Julius Bär & Co. AG	Zürich	Bank					3
Bank Leerau Genossenschaft	Kirchleerau	Bank					5
Bank Oberaargau AG	Huttwil	Bank					5
Bank of America Europe Designated Activity Company, Dublin, Zweigniederlassung Zürich	Zürich	Foreign bank branch office					5
Bank of China Limited, succursale de Genève	Genève	Foreign bank branch office					5
Bank SLM AG	Münsingen	Bank					4
Bank Thalwil Genossenschaft	Thalwil	Bank					5
Bank von Roll AG	Zürich	Bank					5
Bank Vontobel AG	Zürich	Bank					3
BANK ZIMMERBERG AG	Horgen	Bank					4

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Name	City	Licensing	foreign control	no activity as securities firm	non-account-holding securities firms	about to cease operations	Category
bank zweiplus ag	Zürich	Bank	X				5
Bank-now AG	Horgen	Bank		X			5
Banque Algérienne du Commerce Extérieur SA	Zürich	Bank	X				5
BANQUE AUDI (SUISSE) SA	Genève 12	Bank	X				4
BANQUE BANORIENT (SUISSE) SA	Genève 3	Bank	X				5
Banque Bonhôte & Cie SA	Neuchâtel	Bank					5
Banque Cantonale de Fribourg	Fribourg	Bank					3
Banque Cantonale de Genève	Genève 2	Bank					3
Banque Cantonale du Jura SA	Porrentruy 2	Bank					4
Banque Cantonale du Valais	Sion	Bank					3
Banque cantonale neuchâteloise	Neuchâtel	Bank					4
Banque Cantonale Vaudoise	Lausanne	Bank					3
Banque Cramer & Cie SA	Genève 12	Bank					5
Banque de Commerce et de Placements SA	Genève 3	Bank	X				5
Banque Des Monts Blancs SA	Genève 1	Bank	X				5
Banque du Léman SA	Genève 1	Bank	X				4
Banque Eric Sturdza SA	Genève 3	Bank					5
Banque Havilland (Liechtenstein) AG in Liquidation, Vaduz, Zweigniederlassung Zürich in Liquidation	Zürich	Foreign bank branch office		X		X	5
BANQUE HERITAGE SA	Genève 6	Bank					5
Banque Internationale à Luxembourg (Suisse) SA	Zürich	Bank	X				5
Banque Internationale de Commerce - BRED (Suisse) SA	Genève	Bank	X	X			5
Banque Lombard Odier & Cie SA	Bellevue	Bank					3

Authorised banks and securities firms (incl. those about to cease operations)

Name	City	Licensing	foreign control	no activity as securities firm	non-account-holding securities firms	about to cease operations	Category
Banque Pictet & Cie SA	Genève 73	Bank					3
Banque Richelieu (Schweiz) AG	Zürich	Bank	X				5
Banque Syz SA	Genève	Bank					4
Barclays Bank (Suisse) SA	Genève 3	Bank	X				4
Barclays Capital, Zurich Branch of Barclays Bank PLC, London	Zürich	Foreign bank branch office					5
Basellandschaftliche Kantonalbank	Liestal	Bank					3
Basler Kantonalbank	Basel	Bank					3
Baumann & Cie KmG	Basel	Bank					5
BBO Bank Brienz Oberhasli AG	Brienz BE	Bank					5
BBVA SA	Zürich	Bank	X				5
BERGOS AG	Zürich	Bank					5
Berner Kantonalbank AG	Bern	Bank					3
Bernerland Bank AG	Sumiswald	Bank					4
Bezirks-Sparkasse Dielsdorf Genossenschaft	Dielsdorf	Bank					4
BG (Suisse) Private Bank SA	Lugano	Bank	X				5
BGC BROKERS L.P., à Londres (Royaume-Uni), succursale de Genève	Genève	Foreign securities firm branch office			X		5
Biene Bank im Rheintal Genossenschaft	Altstätten SG	Bank					5
BNP PARIBAS, Paris, succursale de Lancy/Genève	Grand-Lancy	Foreign bank branch office					4
BNP PARIBAS, Paris, succursale de Zurich	Zürich	Foreign bank branch office					4
BONDPARTNERS SA	Lausanne	Securities firm					5
Bordier & Cie SCmA	Genève	Bank					4
bridport & cie sa	Genève	Securities firm	X		X		5

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Name	City	Licensing	foreign control	no activity as securities firm	non-account-holding securities firms	about to cease operations	Category
BS Bank Schaffhausen AG	Neunkirch	Bank					5
BSI SA	Lugano	Bank	X			X	5
BTV Vier Länder Bank AG, Innsbruck, Zweigniederlassung Staad	Staad SG	Foreign bank branch office					5
Burgerliche Ersparniskasse Bern, Genossenschaft	Bern	Bank		X			5
BZ Bank Aktiengesellschaft	Wilen b. Wollerau	Bank					5
CA Indosuez (Switzerland) SA	Genève	Bank	X				3
CACEIS Bank, Montrouge, succursale de Nyon / Suisse	Nyon	Foreign bank branch office					5
CACEIS Bank, Montrouge, succursale de Zurich / Suisse	Zürich	Foreign bank branch office		X			5
Caisse d'Epargne Courtelary SA	Courtelary	Bank					5
Caisse d'Epargne d'Aubonne société coopérative	Aubonne	Bank					5
Caisse d'Epargne de Cossonay société coopérative	Cossonay-Ville	Bank					5
Caisse d'Epargne de Nyon société coopérative	Nyon	Bank					5
Caisse d'Epargne et de Crédit Mutuel de Chermignon société coopérative	Chermignon	Bank		X			5
Caisse d'Epargne Riviera, société coopérative	Vevey 1	Bank					5
CBH Compagnie Bancaire Helvétique SA	Genève	Bank					4
Cembra Money Bank AG	Zürich	Bank		X			4
China Construction Bank Corporation, Beijing, Swiss Branch Zurich	Zürich	Foreign bank branch office					5
CIM BANQUE SA	Genève 6	Bank	X				5
Cité Gestion SA	Genève	Bank	X				5
Citibank (Switzerland) AG	Zürich	Bank	X				4
Citibank, N.A., Sioux Falls, succursale de Genève	Genève 3	Foreign bank branch office					4
Citibank, N.A., Sioux Falls, Zurich Branch	Zürich	Foreign bank branch office					4

Authorised banks and securities firms (incl. those about to cease operations)

Name	City	Licensing	foreign control	no activity as securities firm	non-account-holding securities firms	about to cease operations	Category
CITIGROUP GLOBAL MARKETS LIMITED, London, Zweigniederlassung Zürich	Zürich	Foreign securities firm branch office			X		5
Clientis AG	Bern	Bank					4
Clientis Bank Aareland AG	Küttigen	Bank					5
Clientis Bank im Thal AG	Balsthal	Bank					5
Clientis Bank Oberuzwil AG	Oberuzwil	Bank					5
Clientis Bank Thur Genossenschaft	Ebnat-Kappel	Bank					5
Clientis Bank Toggenburg AG	Kirchberg SG	Bank					5
Clientis Entlebucher Bank AG	Schüpfheim	Bank					5
Clientis Sparkasse Oftringen Genossenschaft	Oftringen	Bank					5
COMMERZBANK Aktiengesellschaft, Frankfurt am Main, Zweigniederlassung Zürich	Zürich	Foreign bank branch office					5
Compass Asset Management SA	Lugano	Securities firm			X		5
Cornèr Banca SA	Lugano	Bank					4
Crédit Agricole next bank (Suisse) SA	Genève 26	Bank	X				4
CREDIT MUTUEL DE LA VALLEE SA	Le Sentier	Bank					5
Crypto Finance AG	Zürich	Securities firm	X				5
DC Bank AG	Bern	Bank					5
Delen (Suisse) SA	Genève	Securities firm	X				5
Deutsche Bank (Suisse) SA	Genève 1	Bank	X				4
Deutsche Bank Aktiengesellschaft, Frankfurt a.M., Zweigniederlassung Zürich	Zürich 1	Foreign bank branch office					5
Dreyfus Söhne & Cie. Aktiengesellschaft, Banquiers	Basel	Bank					4
Dukascopy Bank SA	Genève 15 Aéroport	Bank					5
DZ PRIVATBANK (Schweiz) AG	Zürich	Bank	X				5

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Name	City	Licensing	foreign control	no activity as securities firm	non-account-holding securities firms	about to cease operations	Category
E. Gutzwiller & Cie. Banquiers	Basel	Bank					5
Edmond de Rothschild (Suisse) S.A.	Châtelaine	Bank					3
EFG Bank AG	Zürich	Bank	X				3
EFG Bank European Financial Group SA	Genève 2	Bank	X				3
Entris Banking AG	Gümligen	Bank					5
Equatex AG	Zürich	Securities firm	X				5
Ersparniskasse Affoltern i.E. AG	Weier im Emmental	Bank					5
Ersparniskasse Rüeggisberg Genossenschaft	Rüeggisberg	Bank					5
Ersparniskasse Schaffhausen AG	Schaffhausen	Bank					5
Ersparniskasse Speicher	Speicher	Bank		X			5
FAB Private Bank (Suisse) SA	Genève 1	Bank	X				5
Falcon Private AG	Zürich	Bank	X			X	5
Fidurhône SA	Genève	Securities firm					5
Findependent AG	Aarau	Securities firm					5
finpension AG	Luzern	Securities firm					5
Fisch Asset Management AG	Zürich	Securities firm			X		5
Founders Bank AG	Schwyz	Bank	X				5
Frankfurter Bankgesellschaft (Schweiz) AG	Zürich	Bank	X				5
Freie Gemeinschaftsbank Genossenschaft	Basel	Bank		X			5
GFI Securities Limited, Londres, Succursale de Nyon	Nyon	Foreign securities firm branch office			X		5
GHP Arbitrium AG	Zürich	Securities firm			X	X	5
Glarner Kantonalbank	Glarus	Bank					4

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Name	City	Licensing	foreign control	no activity as securities firm	non-account-holding securities firms	about to cease operations	Category
Globalance Bank AG	Zürich	Bank					5
Goldman Sachs Bank AG	Zürich	Bank	X				4
Goldman Sachs International, London, Zweigniederlassung Zürich	Zürich	Foreign securities firm branch office			X		5
Gonet & Cie SA	Genève	Bank	X				4
GPB (Schweiz) AG	Zürich	Bank	X			X	5
Grammont Finance SA	Lutry	Securities firm			X		5
Graubündner Kantonalbank	Chur	Bank					3
GRB Glarner Regionalbank Genossenschaft	Schwanden GL	Bank					5
Habib Bank AG Zürich	Zürich	Bank	X				4
Helvetische Bank AG	Zürich	Bank					5
Hottinger, Gaultier & Partners AG	Zürich	Securities firm					5
HSBC Bank plc, London, Zweigniederlassung Zürich	Zürich	Foreign bank branch office					5
HSBC Private Bank (Suisse) SA	Genève 1	Bank	X				3
Hypo Vorarlberg Bank AG, Bregenz, Zweigniederlassung St. Gallen	St. Gallen	Foreign bank branch office					5
Hyposwiss Private Bank Genève SA	Genève 11	Bank					5
Hypothekarbank Lenzburg AG	Lenzburg	Bank					4
IBKR Financial Services AG	Zug	Securities firm	X		X		5
IG Bank S.A.	Genève	Bank	X				5
InCore Bank AG	Schlieren	Bank					5
Industrial and Commercial Bank of China Limited, Peking, Zweigniederlassung Zürich	Zürich	Foreign bank branch office					5
ING Bank N.V., Amsterdam, succursale de Lancy/Genève	Petit-Lancy 1	Foreign bank branch office		X			5
Intesa Sanpaolo Wealth Management SA	Genève	Bank	X				4

Authorised banks and securities firms (incl. those about to cease operations)

Name	City	Licensing	foreign control	no activity as securities firm	non-account-holding securities firms	about to cease operations	Category
Investec Bank (Switzerland) AG	Zürich	Bank	X				5
ISP Securities AG	Zürich	Securities firm	X				5
J.P. Morgan (Suisse) SA	Genève	Bank	X				4
J.P. Morgan Securities plc, London, Zweigniederlassung Zürich	Zürich	Foreign bank branch office					5
Jefferies International Limited, London, Zweigniederlassung Zürich	Zürich	Foreign securities firm branch office			X		5
JL Securities SA	Genève	Securities firm					5
JPMorgan Chase Bank, National Association, Columbus, Zurich Branch	Zürich	Foreign bank branch office		X			5
Kendra Securities House SA	Genève	Securities firm					5
Kepler Cheuvreux (Suisse) SA	Nyon	Securities firm	X		X		5
Leihkasse Stammheim AG	Oberstammheim	Bank					5
Leonteq Securities AG	Zürich	Securities firm			X		5
LF FINANCE (SUISSE) SA	Genève 3	Securities firm	X				5
LGT Bank (Schweiz) AG	Basel	Bank	X				4
Lienhardt & Partner Privatbank Zürich AG	Zürich	Bank					4
LLB (Schweiz) AG	Uznach	Bank	X				4
Luzerner Kantonalbank AG	Luzern	Bank					3
M.M. Warburg Bank (Schweiz) AG in Liquidation	Baar	Bank	X			X	5
Maerki Baumann & Co. AG	Zürich	Bank					5
Maverix Securities AG	Zürich	Securities firm			X		5
Mercantil Bank (Schweiz) AG	Zürich	Bank	X				5
MG Finance SA	Pully	Securities firm					5
Migros Bank AG	Zürich	Bank					3

Authorised banks and securities firms (incl. those about to cease operations)

Name	City	Licensing	foreign control	no activity as securities firm	non-account-holding securities firms	about to cease operations	Category
Mirabaud & Cie SA	Genève	Bank					4
Morgan Stanley & Co. International plc, London, Zurich Branch	Zürich	Foreign securities firm branch office			X		5
NBK Private Bank (Switzerland) Ltd	Genève	Bank	X				5
Nexent Bank (Suisse) SA	Genève	Bank	X				5
Nidwaldner Kantonalbank	Stans	Bank					4
Nomura Bank (Schweiz) AG	Zürich 1	Bank	X				5
Northern Trust Global Services SE, Leudelange, Luxembourg, Zweigniederlassung Basel	Basel	Foreign bank branch office					5
NPB Neue Privat Bank AG	Zürich	Bank					5
Obwaldner Kantonalbank	Sarnen	Bank					4
ODDO BHF (Schweiz) AG	Zürich	Bank	X				5
PB IHAG AG	Zürich	Bank				X	5
Piguet Galland & Cie SA	Yverdon-les-Bains	Bank					4
PKB PRIVATE BANK SA	Lugano	Bank	X				4
PostFinance AG	Bern	Bank					2
Privatbank Bellerive AG	Zürich	Bank					5
Privatbank Von Graffenried AG	Bern	Bank					5
Private Client Bank AG	Zürich	Bank					5
QNB (Suisse) SA	Genève 1	Bank	X				5
Quantus AG	Zürich	Securities firm				X	5
radicant ag	Zürich	Bank				X	5
Rahn+Bodmer Co.	Zürich	Bank					4
Raiffeisen Schweiz Genossenschaft	St. Gallen	Bank					2

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Name	City	Licensing	foreign control	no activity as securities firm	non-account-holding securities firms	about to cease operations	Category
Regiobank Solothurn AG	Solothurn	Bank					4
Reichmuth & Co.	Luzern	Bank					4
Remaco Asset Management AG	Basel	Securities firm					5
Reuss Private AG	Zürich	Securities firm			X		5
Rothschild & Co Bank AG	Zürich	Bank	X				4
SAXO BANK (SCHWEIZ) AG	Kloten	Bank	X				5
SB Saanen Bank AG	Saanen	Bank					4
Schaffhauser Kantonalbank	Schaffhausen	Bank					4
Schroder & Co Bank AG	Zürich	Bank	X				5
Schwyzer Kantonalbank	Schwyz	Bank					3
Scobag Privatbank AG	Basel	Bank					5
SELVI & Cie SA	Genève 3	Securities firm					5
Società Bancaria Ticinese SA	Bellinzona	Bank					5
Société Générale, Paris, Zweigniederlassung Zürich	Zürich 1	Foreign bank branch office					4
SPAR + LEIHKASSE GÜRBETAL AG	Mühlethurnen	Bank					5
Spar- und Leihkasse Bucheggberg AG	Lüterswil	Bank					5
Spar- und Leihkasse Frutigen AG	Frutigen	Bank					4
Spar- und Leihkasse Thayngen AG	Thayngen	Bank					5
Spar- und Leihkasse Wynigen AG	Wynigen	Bank					5
Spar+Leihkasse Riggisberg AG	Riggisberg	Bank					5
Sparcassa 1816 Genossenschaft	Wädenswil	Bank					5
Sparhafen Bank AG	Zürich	Bank					5

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Name	City	Licensing	foreign control	no activity as securities firm	non-account-holding securities firms	about to cease operations	Category
Sparkasse Schwyz AG	Schwyz	Bank					4
Sparkasse Sense	Tafers	Bank					5
St. Galler Kantonalbank AG	St. Gallen	Bank					3
State Street Bank International GmbH, München, Zweigniederlassung Zürich	Zürich	Foreign bank branch office		X			4
Swiss Bankers Prepaid Services AG	Grosshöchstetten	Bank		X			5
Swiss Finance & Property AG	Zürich	Securities firm			X		5
Swissquote Bank SA	Gland	Bank					3
Sygnum Bank AG	Zürich	Bank					5
Taurus SA	Genève	Securities firm					5
Thurgauer Kantonalbank	Weinfelden	Bank					3
TP ICAP Broking Limited, Londres, succursale de Genève	Genève	Foreign securities firm branch office			X		5
TradeXBank AG	Zürich	Bank					5
TRADITION SECURITIES AND FUTURES, Paris, succursale de Genève	Genève	Foreign securities firm branch office			X		5
Trafina Privatbank AG	Basel	Bank					5
UBS AG	Zürich	Bank					1
UBS Europe SE, Frankfurt am Main, Zweigniederlassung Schweiz, Opfikon	Opfikon	Foreign bank branch office					5
UBS Switzerland AG	Zürich	Bank					1
UG Europe AG in Liquidation	Baar	Securities firm	X		X	X	5
UniCredit Bank GmbH, München, Succursale de Genève, en liquidation	Zürich	Foreign bank branch office				X	5
UNION BANCAIRE PRIVEE, UBP SA	Genève 1	Bank					3
Union Securities Switzerland SA	Genève	Securities firm					5
Urner Kantonalbank	Altdorf UR	Bank					4

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Name	City	Licensing	foreign control	no activity as securities firm	non-account-holding securities firms	about to cease operations	Category
Valcourt SA	Genève 11	Securities firm	X		X		5
Valiant Bank AG	Bern	Bank					3
Van Lanschot Kempen (Schweiz) AG	Zürich	Bank	X				5
Verve Capital Partners AG	Zürich	Securities firm			X		5
Vontobel Swiss Financial Advisers AG	Zürich	Securities firm					5
VP Bank (Schweiz) AG	Zürich	Bank	X				4
VZ Depotbank AG	Zug	Bank					3
WIR Bank Genossenschaft	Basel	Bank					4
Zähringer Privatbank AG	Bern	Bank					5
Zuger Kantonalbank	Zug	Bank					3
Zürcher Kantonalbank	Zürich	Bank					2
Zürcher Landbank AG	Elgg	Bank					5

Total authorised banks and securities firms: 276 (of which about to cease operations: 11)