

**Declaration by the qualified or principal participants according to art. 3 par. 2 lit. cbis of the Banking Act or art. 23 par 4 Ordinance on Stock Exchanges and Securities Trading**  
**(must be completed by the holders of qualified or principal participations)**

**Indirect participation** in the following bank or securities dealer:

.....  
 .....

**through a direct participation in: (\*)**.....

The undersigned (natural person or legal entity) participates in the Capital (CHF .....)  
 of (\*) ..... for the amount of ..... registered shares of a par value of CHF.  
 ..... and/or of ..... bearer shares of a par value of CHF. .... and/or .....  
 participations certificates of a par value of CHF. ....

The qualified or principal participant

**hereby declares, according to art. 6 par. 3 of the Ordinance on the Banking Act /  
 art. 28 par. 2 of the Ordinance on Stock Exchanges and Securities Trading:**

- |   | Yes                      | No                       |
|---|--------------------------|--------------------------|
| • to hold the qualified participation for his own account :     | <input type="checkbox"/> | <input type="checkbox"/> |
| If no, for whom ? .....   |                          |                          |
| • to grant an option or similar rights over the participation : | <input type="checkbox"/> | <input type="checkbox"/> |
| If yes :     - which one(s) ? .....                             |                          |                          |
| - who is entitled ? .....                                       |                          |                          |

**hereby undertakes, according to art. 3 par. 5 of the Banking Act / art. 28 par. 1 of the  
 Ordinance on Stock Exchanges and Securities Trading :**

- to inform the Federal Banking Commission of any future acquisition or alienation of qualified or principal participations.

The undersigned declare(s) having given the declaration and undertaking in full knowledge of the penalty provisions of the Banking Act (art. 46) and of the Stock Exchange Act (art. 41).

**Name or company of the qualified participant :**

.....

**Domicile / Country of domicile :**

.....

**Nationality :**

.....

For foreigners in Switzerland, type of the residence permit :

.....

Place / Date :

.....

Signatures :