

**Declaration of the holders of qualified or principal participations according to art. 3 par. 2 lit. cbis of the Banking Act or art. 23 par 4 Ordinance on Stock Exchanges and Securities Trading
(must be completed by the bank or the securities dealer)**

Name of the Bank and/or Securities Dealer:
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Share Capital of the bank mentioned above: CHF,
divided into (number)

- registered shares of a par value of CHF
- bearer shares of a par value of CHF
- participation certificates of a par value of CHF

The following natural persons and/or legal entities hold a qualified or principal participation according to art. 3 par. 2 lit. cbis Banking Act / art. 23 par. 4 par 4 Ordinance on Stock Exchanges and Securities Trading:

1.
2.
3.
4.
5.
6.
7.
8.
9.

Please note : A **separate** "annexed sheet" must be completed for **each** of the above mentioned persons holding a qualified or principal participation

We confirm having provided the above indications as well as the detailed indications in the following annexed sheets to the best of our knowledge and belief, in full knowledge of the penalty provisions of the Banking Act (art. 46) and of the Stock Exchange Act (art. 41), and we undertake hereby to inform the Federal Banking Commission in the future and without delay of any eventual modification concerning the qualified participations and, at least once a year within 60 days following the close of the financial year, to report to the latter of the qualified participations (art. 6a of the Ordinance on the Banking Act / art. 28 par. 4 Ordinance on Stock Exchanges and Securities Trading).

Place and date :

(to be signed by 2 Members of the Board and/or Management:)

Annexe: Annexed sheet to the declaration