

Authorised banks and securities firms (incl. those about to cease operations)

Name	City	Licensing	foreign control	no activity as securities firm	non-account-holding securities firms	about to cease operations	Category
Aargauische Kantonalbank	Aarau 1	Bank					3
ABANCA CORPORACION BANCARIA S.A., Betanzos, succursale de Genève	Genève 1	Foreign bank branch office		X			5
acervis Bank AG	St. Gallen	Bank					4
AEK BANK 1826 Genossenschaft	Thun	Bank					4
Allfunds Bank S.A., Madrid, Zurich Branch	Zürich	Foreign bank branch office					5
Alpha RHEINTAL Bank AG	Heerbrugg	Bank					4
Alpian SA	Genève	Bank	X				5
Alternative Bank Schweiz AG	Olten	Bank					4
AMINA Bank AG	Zug	Bank					5
Appenzeller Kantonalbank	Appenzell	Bank					4
Aquila AG	Zürich	Bank					5
Arab Bank (Switzerland) Ltd.	Genève 3	Bank	X				4
AXION SWISS BANK SA	Lugano	Bank					5
Baader Helvea AG	Zürich	Securities firm	X		X		5
Baloise Bank AG	Solothurn	Bank					4
Banca Aletti & C. (Suisse) SA	Lugano	Bank	X				5
Banca Credinvest SA	Lugano	Bank	X				5
BANCA DEL CERESIO SA	Lugano	Bank	X				5
BANCA DEL SEMPIONE SA	Lugano	Bank	X				5
Banca dello Stato del Cantone Ticino	Bellinzona	Bank					3
Banca Popolare di Sondrio (Suisse) SA	Lugano	Bank	X				4
BANCA ZARATTINI & CO. SA	Lugano	Bank	X				5

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Banco Itaú (Suisse) SA	Zürich	Bank	X				5
Banco Santander International SA	Genève 1	Bank	X				4
Bank Avera Genossenschaft	Wetzikon ZH	Bank					4
Bank BSU Genossenschaft	Uster	Bank					5
Bank CIC (Schweiz) AG	Basel	Bank	X				4
Bank Cler AG	Basel	Bank					3
Bank EEK AG	Bern	Bank					4
Bank EKI Genossenschaft	Interlaken	Bank					4
Bank Gantrisch Genossenschaft	Schwarzenburg	Bank					5
Bank in Zuzwil AG	Zuzwil SG	Bank					5
Bank J. Safra Sarasin AG	Basel	Bank	X				3
Bank Julius Bär & Co. AG	Zürich	Bank					3
Bank Leerau Genossenschaft	Kirchleerau	Bank					5
Bank Oberaargau AG	Huttwil	Bank					5
Bank of America Europe Designated Activity Company, Dublin, Zweigniederlassung Zürich	Zürich	Foreign bank branch office					5
Bank of China Limited, succursale de Genève	Genève	Foreign bank branch office					5
Bank SLM AG	Münsingen	Bank					4
Bank Thalwil Genossenschaft	Thalwil	Bank					5
Bank von Roll AG	Zürich	Bank					5
Bank Vontobel AG	Zürich	Bank					3
BANK ZIMMERBERG AG	Horgen	Bank					4
bank zweiplus ag	Zürich	Bank	X				5

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Name	City	Licensing	foreign control	no activity as securities firm	non-account-holding securities firms	about to cease operations	Category
Bank-now AG	Horgen	Bank		X			5
Banque Algérienne du Commerce Extérieur SA	Zürich	Bank	X				5
BANQUE AUDI (SUISSE) SA	Genève 12	Bank	X				5
BANQUE BANORIENT (SUISSE) SA	Genève 3	Bank	X				5
Banque Bonhôte & Cie SA	Neuchâtel	Bank					5
Banque Cantonale de Fribourg	Fribourg	Bank					3
Banque Cantonale de Genève	Genève 2	Bank					3
Banque Cantonale du Jura SA	Porrentruy 2	Bank					4
Banque Cantonale du Valais	Sion	Bank					3
Banque cantonale neuchâteloise	Neuchâtel	Bank					4
Banque Cantonale Vaudoise	Lausanne	Bank					3
Banque Cramer & Cie SA	Genève 12	Bank					5
Banque de Commerce et de Placements SA	Genève 3	Bank	X				5
Banque Des Monts Blancs SA	Genève 1	Bank	X				5
Banque du Léman SA	Genève 1	Bank	X				4
Banque Eric Sturdza SA	Genève 3	Bank					5
Banque Havilland (Liechtenstein) AG in Liquidation, Vaduz, Zweigniederlassung Zürich in Liquidation	Zürich	Foreign bank branch office		X		X	5
BANQUE HERITAGE SA	Genève 6	Bank					5
Banque Internationale à Luxembourg (Suisse) SA	Zürich	Bank	X				5
Banque Internationale de Commerce - BRED (Suisse) SA	Genève	Bank	X	X			5
Banque Lombard Odier & Cie SA	Bellevue	Bank					3
Banque Pictet & Cie SA	Genève 73	Bank					3

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Name	City	Licensing	foreign control	no activity as securities firm	non-account-holding securities firms	about to cease operations	Category
Banque Richelieu (Schweiz) AG	Zürich	Bank	X				5
Banque Syz SA	Genève	Bank					4
Barclays Bank (Suisse) SA	Genève 3	Bank	X				4
Barclays Capital, Zurich Branch of Barclays Bank PLC, London	Zürich	Foreign bank branch office					5
Basellandschaftliche Kantonalbank	Liestal	Bank					3
Basler Kantonalbank	Basel	Bank					3
Baumann & Cie KmG	Basel	Bank					5
BBO Bank Brienz Oberhasli AG	Brienz BE	Bank					5
BBVA SA	Zürich	Bank	X				5
BERGOS AG	Zürich	Bank					5
Berner Kantonalbank AG	Bern	Bank					3
Bernerland Bank AG	Sumiswald	Bank					4
Bezirks-Sparkasse Dielsdorf Genossenschaft	Dielsdorf	Bank					4
BG (Suisse) Private Bank SA	Lugano	Bank	X				5
BGC BROKERS L.P., à Londres (Royaume-Uni), succursale de Genève	Genève	Foreign securities firm branch office			X		5
Biene Bank im Rheintal Genossenschaft	Altstätten SG	Bank					5
BNP PARIBAS, Paris, succursale de Lancy/Genève	Grand-Lancy	Foreign bank branch office					4
BNP PARIBAS, Paris, succursale de Zurich	Zürich	Foreign bank branch office					4
BONDPARTNERS SA	Lausanne	Securities firm					5
Bordier & Cie SCmA	Genève	Bank					4
bridport & cie sa	Genève	Securities firm	X		X		5
BS Bank Schaffhausen AG	Neunkirch	Bank					5

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Name	City	Licensing	foreign control	no activity as securities firm	non-account-holding securities firms	about to cease operations	Category
BSI SA	Lugano	Bank	X			X	5
BTV Vier Länder Bank AG, Innsbruck, Zweigniederlassung Staad	Staad SG	Foreign bank branch office					5
Bürgerliche Ersparniskasse Bern, Genossenschaft	Bern	Bank		X			5
BZ Bank Aktiengesellschaft	Wilen b. Wollerau	Bank					5
CA Indosuez (Switzerland) SA	Genève	Bank	X				3
CACEIS Bank, Montrouge, succursale de Nyon / Suisse	Nyon	Foreign bank branch office					5
CACEIS Bank, Montrouge, succursale de Zurich / Suisse	Zürich	Foreign bank branch office		X			5
Caisse d'Epargne Courtelary SA	Courtelary	Bank					5
Caisse d'Epargne d'Aubonne société coopérative	Aubonne	Bank					5
Caisse d'Epargne de Cossonay société coopérative	Cossonay-Ville	Bank					5
Caisse d'Epargne de Nyon société coopérative	Nyon	Bank					5
Caisse d'Epargne et de Crédit Mutuel de Chermignon société coopérative	Chermignon	Bank		X			5
Caisse d'Epargne Riviera, société coopérative	Vevey 1	Bank					5
CBH Compagnie Bancaire Helvétique SA	Genève	Bank					4
Cembra Money Bank AG	Zürich	Bank		X			4
China Construction Bank Corporation, Beijing, Swiss Branch Zurich	Zürich	Foreign bank branch office					5
CIM BANQUE SA	Genève 6	Bank	X				5
Cité Gestion SA	Genève	Bank	X				5
Citibank (Switzerland) AG	Zürich	Bank	X				4
Citibank, N.A., Sioux Falls, succursale de Genève	Genève 3	Foreign bank branch office					4
Citibank, N.A., Sioux Falls, Zurich Branch	Zürich	Foreign bank branch office					4
CITIGROUP GLOBAL MARKETS LIMITED, London, Zweigniederlassung Zürich	Zürich	Foreign securities firm branch office			X		5

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Name	City	Licensing	foreign control	no activity as securities firm	non-account-holding securities firms	about to cease operations	Category
Clientis AG	Bern	Bank					4
Clientis Bank Aareland AG	Küttigen	Bank					5
Clientis Bank im Thal AG	Balsthal	Bank					5
Clientis Bank Oberuzwil AG	Oberuzwil	Bank					5
Clientis Bank Thur Genossenschaft	Ebnat-Kappel	Bank					5
Clientis Bank Toggenburg AG	Kirchberg SG	Bank					5
Clientis Entlebucher Bank AG	Schüpfheim	Bank					5
Clientis Sparkasse Oftringen Genossenschaft	Oftringen	Bank					5
COMMERZBANK Aktiengesellschaft, Frankfurt am Main, Zweigniederlassung Zürich	Zürich	Foreign bank branch office					5
Compass Asset Management SA	Lugano	Securities firm			X		5
Cornèr Banca SA	Lugano	Bank					4
Crédit Agricole next bank (Suisse) SA	Genève 26	Bank	X				4
CREDIT MUTUEL DE LA VALLEE SA	Le Sentier	Bank					5
Crypto Finance AG	Zürich	Securities firm	X				5
DC Bank AG	Bern	Bank					5
Delen (Suisse) SA	Genève	Securities firm	X				5
Deutsche Bank (Suisse) SA	Genève 1	Bank	X				4
Deutsche Bank Aktiengesellschaft, Frankfurt a.M., Zweigniederlassung Zürich	Zürich 1	Foreign bank branch office					5
Dreyfus Söhne & Cie. Aktiengesellschaft, Banquiers	Basel	Bank					4
Dukascopy Bank SA	Genève 15 Aéroport	Bank					5
DZ PRIVATBANK (Schweiz) AG	Zürich	Bank	X				5
E. Gutzwiller & Cie. Banquiers	Basel	Bank					5

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Name	City	Licensing	foreign control	no activity as securities firm	non-account-holding securities firms	about to cease operations	Category
Edmond de Rothschild (Suisse) S.A.	Châtelaine	Bank					3
EFG Bank AG	Zürich	Bank	X				3
EFG Bank European Financial Group SA	Genève 2	Bank	X				3
Entris Banking AG	Gümligen	Bank					5
Equatex AG	Zürich	Securities firm	X				5
Ersparniskasse Affoltern i.E. AG	Weier im Emmental	Bank					5
Ersparniskasse Rüeggisberg Genossenschaft	Rüeggisberg	Bank					5
Ersparniskasse Schaffhausen AG	Schaffhausen	Bank					5
Ersparniskasse Speicher	Speicher	Bank		X			5
FAB Private Bank (Suisse) SA	Genève 1	Bank	X				5
Falcon Private AG	Zürich	Bank	X			X	5
Fidurhône SA	Genève	Securities firm					5
Findependent AG	Aarau	Securities firm					5
finpension AG	Luzern	Securities firm					5
Fisch Asset Management AG	Zürich	Securities firm			X		5
Frankfurter Bankgesellschaft (Schweiz) AG	Zürich	Bank	X				5
Freie Gemeinschaftsbank Genossenschaft	Basel	Bank		X			5
GFI Securities Limited, Londres, Succursale de Nyon	Nyon	Foreign securities firm branch office			X		5
GHP Arbitrium AG	Zürich	Securities firm			X	X	5
Glarner Kantonalbank	Glarus	Bank					4
Globalance Bank AG	Zürich	Bank					5
Goldman Sachs Bank AG	Zürich	Bank	X				4

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Name	City	Licensing	foreign control	no activity as securities firm	non-account-holding securities firms	about to cease operations	Category
Goldman Sachs International, London, Zweigniederlassung Zürich	Zürich	Foreign securities firm branch office			X		5
Gonet & Cie SA	Genève	Bank	X				5
GPB (Schweiz) AG	Zürich	Bank	X			X	5
Grammont Finance SA	Lutry	Securities firm			X		5
Graubündner Kantonalbank	Chur	Bank					3
GRB Glarner Regionalbank Genossenschaft	Schwanden GL	Bank					5
Habib Bank AG Zürich	Zürich	Bank	X				4
Helvetische Bank AG	Zürich	Bank					5
Hottinger, Gaultier & Partners AG	Zürich	Securities firm					5
HSBC Bank plc, London, Zweigniederlassung Zürich	Zürich	Foreign bank branch office					5
HSBC Private Bank (Suisse) SA	Genève 1	Bank	X				3
Hypo Vorarlberg Bank AG, Bregenz, Zweigniederlassung St. Gallen	St. Gallen	Foreign bank branch office					5
Hyposwiss Private Bank Genève SA	Genève 11	Bank					5
Hypothekarbank Lenzburg AG	Lenzburg	Bank					4
IBKR Financial Services AG	Zug	Securities firm	X		X		5
IG Bank S.A.	Genève	Bank	X				5
InCore Bank AG	Schlieren	Bank					5
Industrial and Commercial Bank of China Limited, Peking, Zweigniederlassung Zürich	Zürich	Foreign bank branch office					5
ING Bank N.V., Amsterdam, succursale de Lancy/Genève	Petit-Lancy 1	Foreign bank branch office		X			5
Intesa Sanpaolo Wealth Management SA	Genève	Bank	X				4
Investec Bank (Switzerland) AG	Zürich	Bank	X				5
ISP Securities AG	Zürich	Securities firm	X				5

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Name	City	Licensing	foreign control	no activity as securities firm	non-account-holding securities firms	about to cease operations	Category
J.P. Morgan (Suisse) SA	Genève	Bank	X				4
J.P. Morgan Securities plc, London, Zweigniederlassung Zürich	Zürich	Foreign bank branch office					5
Jefferies International Limited, London, Zweigniederlassung Zürich	Zürich	Foreign securities firm branch office			X		5
JL Securities SA	Genève	Securities firm					5
JPMorgan Chase Bank, National Association, Columbus, Zurich Branch	Zürich	Foreign bank branch office		X			5
Kendra Securities House SA	Genève	Securities firm					5
Kepler Cheuvreux (Suisse) SA	Nyon	Securities firm	X		X		5
Leihkasse Stammheim AG	Oberstammheim	Bank					5
Leonteq Securities AG	Zürich	Securities firm			X		5
LF FINANCE (SUISSE) SA	Genève 3	Securities firm	X				5
LGT Bank (Schweiz) AG	Basel	Bank	X				4
Lienhardt & Partner Privatbank Zürich AG	Zürich	Bank					4
LLB (Schweiz) AG	Uznach	Bank	X				4
Luzerner Kantonalbank AG	Luzern	Bank					3
M.M. Warburg Bank (Schweiz) AG in Liquidation	Baar	Bank	X			X	5
Maerki Baumann & Co. AG	Zürich	Bank					5
Maverix Securities AG	Zürich	Securities firm			X		5
Mercantil Bank (Schweiz) AG	Zürich	Bank	X				5
MG Finance SA	Pully	Securities firm					5
Migros Bank AG	Zürich	Bank					3
Mirabaud & Cie SA	Genève	Bank					4
Morgan Stanley & Co. International plc, London, Zurich Branch	Zürich	Foreign securities firm branch office			X		5

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Name	City	Licensing	foreign control	no activity as securities firm	non-account-holding securities firms	about to cease operations	Category
NBK Private Bank (Switzerland) Ltd	Genève	Bank	X				5
Nexent Bank (Suisse) SA	Genève	Bank	X				5
Nidwaldner Kantonalbank	Stans	Bank					4
Nomura Bank (Schweiz) AG	Zürich 1	Bank	X				5
Northern Trust Global Services SE, Leudelange, Luxembourg, Zweigniederlassung Basel	Basel	Foreign bank branch office					5
NPB Neue Privat Bank AG	Zürich	Bank					5
Obwaldner Kantonalbank	Sarnen	Bank					4
ODDO BHF (Schweiz) AG	Zürich	Bank	X				5
PB IHAG AG	Zürich	Bank				X	5
Piguet Galland & Cie SA	Yverdon-les-Bains	Bank					4
PKB PRIVATE BANK SA	Lugano	Bank	X				4
PostFinance AG	Bern	Bank					2
Privatbank Bellerive AG	Zürich	Bank					5
Privatbank Von Graffenried AG	Bern	Bank					5
Private Client Bank AG	Zürich	Bank					5
QNB (Suisse) SA	Genève 1	Bank	X				5
Quantus AG	Zürich	Securities firm				X	5
Quilvest (Switzerland) Ltd.	Zürich	Bank	X				5
radicant ag	Zürich	Bank				X	5
Rahn+Bodmer Co.	Zürich	Bank					4
Raiffeisen Schweiz Genossenschaft	St. Gallen	Bank					2
Regiobank Solothurn AG	Solothurn	Bank					4

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Reichmuth & Co.	Luzern	Bank					4
Remaco Asset Management AG	Basel	Securities firm					5
Reuss Private AG	Zürich	Securities firm			X		5
Rothschild & Co Bank AG	Zürich	Bank	X				4
S.P. Hinduja Banque Privée SA	Genève 1	Bank	X				5
SAXO BANK (SCHWEIZ) AG	Kloten	Bank	X				5
SB Saanen Bank AG	Saanen	Bank					4
Schaffhauser Kantonalbank	Schaffhausen	Bank					4
Schroder & Co Bank AG	Zürich	Bank	X				5
Schwyz Kantonalbank	Schwyz	Bank					3
Scobag Privatbank AG	Basel	Bank					5
SELVI & Cie SA	Genève 3	Securities firm					5
Società Bancaria Ticinese SA	Bellinzona	Bank					5
Société Générale, Paris, Zweigniederlassung Zürich	Zürich 1	Foreign bank branch office					4
SPAR + LEIHKASSE GÜRBETAL AG	Mühlethurnen	Bank					5
Spar- und Leihkasse Bucheggberg AG	Lütterswil	Bank					5
Spar- und Leihkasse Frutigen AG	Frutigen	Bank					4
Spar- und Leihkasse Thayngen AG	Thayngen	Bank					5
Spar- und Leihkasse Wynigen AG	Wynigen	Bank					5
Spar+Leihkasse Riggisberg AG	Riggisberg	Bank					5
Sparcassa 1816 Genossenschaft	Wädenswil	Bank					5
Sparhafen Bank AG	Zürich	Bank					5

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Sparkasse Schwyz AG	Schwyz	Bank					4
Sparkasse Sense	Tafers	Bank					5
St. Galler Kantonalbank AG	St. Gallen	Bank					3
State Street Bank International GmbH, München, Zweigniederlassung Zürich	Zürich	Foreign bank branch office		X			5
Swiss Bankers Prepaid Services AG	Grosshöchstetten	Bank		X			5
Swiss Finance & Property AG	Zürich	Securities firm			X		5
Swissquote Bank SA	Gland	Bank					4
Sygnum Bank AG	Zürich	Bank					5
Taurus SA	Genève	Securities firm					5
Tellco Bank AG	Schwyz	Bank	X				5
Thurgauer Kantonalbank	Weinfelden	Bank					3
TP ICAP Broking Limited, Londres, succursale de Genève	Genève	Foreign securities firm branch office			X		5
TradeXBank AG	Zürich	Bank					5
TRADITION SECURITIES AND FUTURES, Paris, succursale de Genève	Genève	Foreign securities firm branch office			X		5
Trafina Privatbank AG	Basel	Bank					5
UBS AG	Zürich	Bank					1
UBS Europe SE, Frankfurt am Main, Zweigniederlassung Schweiz, Opfikon	Opfikon	Foreign bank branch office					5
UBS Switzerland AG	Zürich	Bank					1
UG Europe AG	Baar	Securities firm	X		X		5
UniCredit Bank GmbH, München, Succursale de Genève, en liquidation	Zürich	Foreign bank branch office				X	5
UNION BANCAIRE PRIVEE, UBP SA	Genève 1	Bank					3
Union Securities Switzerland SA	Genève	Securities firm					5

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Urner Kantonalbank	Altdorf UR	Bank					4
Valcourt SA	Genève 11	Securities firm	X		X		5
Valiant Bank AG	Bern	Bank					3
Van Lanschot Kempen (Schweiz) AG	Zürich	Bank	X				5
Verve Capital Partners AG	Zürich	Securities firm			X		5
Vontobel Swiss Financial Advisers AG	Zürich	Securities firm					5
VP Bank (Schweiz) AG	Zürich	Bank	X				4
VZ Depotbank AG	Zug	Bank					3
WIR Bank Genossenschaft	Basel	Bank					4
Zähringer Privatbank AG	Bern	Bank					5
Zuger Kantonalbank	Zug	Bank					3
Zürcher Kantonalbank	Zürich	Bank					2
Zürcher Landbank AG	Elgg	Bank					5

Total authorised banks and securities firms: 277 (of which about to cease operations: 10)