

Addressees																								
FINMA-Circ.	No.	Short title	BA			ISA			SESTA		CISA						AMLA			Other				
			Banks	Financial groups and -congl.	Other intermediaries	Insurers	Insurance groups and -congl.	Insurance intermediaries	Stock exch. and participants	Securities dealers	Fund management companies	SICAVs	Limited partnerships for CISs	SICAFs	Custodian banks	Asset managers CISs	Distributors	Representatives of foreign CISs	Other intermediaries	SROs	DSFIs	SRO-supervised institutions	Audit firms	Rating agencies
	08/1	Authorisation and notification requirements – banks	X	X				X	X														X	
	08/2	Accounting – banks	X	X					X															
	08/3	Public deposits with non-banks			X																			
	08/4	Securities journals							X															
	08/5	Securities dealers							X															
	08/6	Interest-rate risks – banks	X	X					X															
	08/7	Outsourcing – banks	X	X					X															
	08/8	Public advertising – collective investment schemes	X						X	X	X	X		X	X	X	X							
	08/9	Supervision of large banks		X																				
	08/10	Self-regulation as a minimum standard	X						X	X	X	X		X	X	X								
	08/11	Reporting obligation – stock exchange transactions						X	X															
	08/12	Revolving-door principle – occupational pension schemes				X																		
	08/13	Tariffication risk insurance – occupational pension schemes				X																		
	08/14	Supervisory reporting – banks	X	X					X															
	08/15	Mergers – health insurance companies				X																		
	08/16	Responsible actuary				X																		
	08/17	Exchange of information between SROs and FINMA																X	X	X				



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	08/38	Market behaviour rules	X						X	X	X	X		X											
	08/39	Unit-linked life insurance				X																			
	08/40	Life insurance				X																			
	08/41	Audit matters	X	X		X	X		X	X	X	X	X	X		X			X			X			
	08/42	Technical provisions – non-life insurance				X																			
	08/43	Technical provisions – life insurance				X																			
	08/44	SST				X	X																		
	09/1	Guidelines on asset management	X						X	X	X	X	X	X	X	X	X								
	10/1	Remuneration schemes	X	X		X	X		X	X	X	X	X	X	X	X									
	10/2	Repo/SLB transactions	X						X																
	10/3	Health insurance under ISA				X																			
	11/1	Financial intermediation under AMLA																	X	X	X				
	11/2	Capital buffer and capital planning – banks	X	X					X																
	11/3	Provisions in reinsurance				X																			
	12/1	Credit rating agencies	X	X		X	X		X	X	X	X	X	X								X	X		